

Huber Continuous valuations

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Préliminaires

0.1 Valuation ring

Définition 0.1 cf [8, p.71] An integer ring R is a valuation ring if, noting K for its field of fraction, $\forall x \in K$, $x \in R$ or $x^{-1} \in R$.

cf aussi [1, p122]

Proposition 0.1 If R is a valuation ring, R is a local ring. The ideals of R are totally ordered by inclusion.

D : R is local iff the non-invertible elements are stable by addition. If x and y are not invertible, if x or y is 0, then OK, otherwise, $\frac{x}{y}$ say is in R . Then $x + y = y + y\frac{x}{y} = y(1 + \frac{x}{y})$, and since y isn't invertible, $x + y$ neither.

Let I and J be two ideals of R . Suppose $I \not\subseteq J$ and $J \not\subseteq I$. let then $i \in I \setminus J$ and $j \in J \setminus I$. By symmetry, suppose that $\frac{i}{j} \in R$. Then $i = j\frac{i}{j} \in J$, which is absurd. \square

Actually, this also proves that R is a local ring : define \mathfrak{m} as the union of all the proper ideals of R . It is a proper ideal because they are totally ordered by inclusion, and maximal.

Proposition 0.2 *Let K be a field, $v : K \rightarrow \Gamma_0$ such that*

$$v(x) = 0 \text{ iff } x = 0$$

$$v(xy) = v(x)v(y).$$

Then v is a valuation iff $\forall x$ such that $v(x) \leq 1$, $v(1+x) \leq 1$.

$$D : \Rightarrow v(1+x) \leq \max(v(1), v(x)) = 1.$$

\Leftarrow : let x and $y \in K$ not zero (otherwise it is easy). Suppose $v(x) \geq v(y)$. Hence $v(\frac{y}{x}) \leq 1$. Then $v(x+y) = v(x)v(1+\frac{y}{x}) \leq v(x)$. \square

Définition 0.2 *A valuation on A is $v : A \rightarrow \Gamma_0$ such that $v(ab) = v(a)v(b)$ and*

1. [2, VI, §3] or [8, p. 75] , $v(x+y) \geq \min(v(x), v(y))$ and the law on Γ_0 is that 0 is ∞ , i.e. greatest element (we should note in fact Γ_∞

2. [5] $v(x+y) \leq \max(v(x), v(y))$, and 0 is the lowest element.

Putting $w := \frac{1}{v}$, i.e. $w(a) = v(a)^{-1}$ if $v(a) \neq 0$, and $w(a) = 0$ if $v(a) = 0$ (in fact $0 \leftrightarrow \infty$) , gives a bijection between valuation of hte types (i) and (ii). We will always take definition (ii).

0.2 product of valuation

If $v_i : A \rightarrow \text{Gamma}_{i0}$ are two valuations ($i = 1, 2$), then $v : A \rightarrow \Gamma_1 \times \Gamma_{20}$ (with the lexicographic order) is not a valuation in general. Indeed if one can find a and b such that $v_1(a) < v_1(b)$ and $v_2(b) < v_2(a)$, then we would have $v(a+b) = (v_1(b), v_2(a)) > \max(v(a), v(b)) = v(b) = (v_1(b), v_2(b))$.

Exemples :

* $A = \mathbb{Z}$, v_2 the 2-adic valuation, and v_3 the 3-adic one, then $v(2+3) = (0, 0)$, $v(2) = (-1, 0)$, $v(3) = (0, -1)$.

$$*A = k\{T\}. v_1 = \eta_{B(0,1)} \text{ and } v_2 = \eta_{B(0, \frac{1}{p})}.$$

$$\text{Then } v(T^2 + p) = (1, \frac{1}{p}) , v(T^2) = (1, \frac{1}{p^2}) , v(p) = (\frac{1}{p}, \frac{1}{p}).$$

0.3 Completion of a topological ring

Let A be a topological ring. A sequence in A is said to be a Cauchy sequence if for every 0-neighborhood V there exists N such that $n, m \geq N$ implies $x_n - x_m \in V$.

If $x_n \rightarrow l$, then x_n is Cauchy. Indeed let V be the 0 neighborhood, then there exists a 0-neighborhood W such that $W - W \subseteq V$. Then for n big enough $x_n \in l + W$, and $x_n - x_m \in W - W \subseteq V$.

A_{cauchy} is a group for $+$. If x_n and y_n are Cauchy, let V be a 0-neighborhood. Let W be another one such that $W + W \subseteq V$. Then for n big enough, $x_n - x_m \in W$ and the same thing for y , so that $(x+y)_n - (x+y)_m \in W + W \subseteq V$.

x_n Cauchy $\Rightarrow x_n$ bounded. Let V be a 0-neighborhood, and W st $W + W \subseteq V$ (in particular $W \subseteq V$). Let X_1, X_2 two neighborhoods s t $X_1 \cdot X_2 \subseteq W$. $\exists N$ s t $n \geq N \Rightarrow (x_n - x_N) \in X_1$.

for $i = 0 \dots N-1 \exists W_i$ s t $x_i W_i \subseteq W$.

Define $Z = W_0 \cap \dots \cap W_N \cap X_2$. Then for $i = 0 \dots N-1$ $x_i Z \subseteq x_i W_i \subseteq W \subseteq V$.

For $i \geq N$, $x_i Z \subseteq (x_i - x_N)Z + (x_N)Z \subseteq X_1 \cdot X_2 + x_N W_N \subseteq W + W \subseteq V$.

x_n and y_n Cauchy $\Rightarrow (xy)_n$ are Cauchy Let V . $\exists W$ s t $W + W \subseteq V$.

$\exists X_x$ and X_y s t $x_n \cdot X_x$ and $y_n \cdot X_y \in W$.

$X = X_x \cap X_y$.

Then for $n, m \gg 0$ $y_m - y_n$ and $x_m - x_n \in X$ then $x_m y_m - x_n y_n \in W + W \subseteq V$.

the 0 sequence form an ideal of A_{cauchy} Let x_n be a 0 sequence, and y_n a Cauchy one, so that it is bounded.

Let V . As y_n is bounded there exists W such that $y_n \cdot W \subseteq V$. then for $n \gg 0$ $x_n \in W$, and $(xy)_n \in V$.

- Define $\hat{A} = A_{cauchy}/\text{zero-seq}$.

0.4 Ordered groups

Γ is an abelian ordered group.

Proposition 0.3 $a \geq b$ iff $a^{-1} \geq b^{-1}$.

D : $a \geq b \Rightarrow a(a^{-1}b^{-1}) \geq b(a^{-1}b^{-1})$, i.e. $b^{-1} \geq a^{-1}$. Applying this with a^{-1} and b^{-1} gives the other inequality. \square .

Définition 0.3 A subset X of Γ is convex if $\forall x, y, z \in \Gamma$ with $x \leq y \leq z$ and $x, z \in X$ then $y \in X$.

The convex sets are stable by intersection; if X_i are convex sets that all contain 1, then $\cup X_i$ is convex. If X is convex, $X^{-1} = \{x^{-1} \mid x \in X\}$ is convex.

Définition 0.4 If $A \subseteq \Gamma$, define $A_{conv} = \{x \in \Gamma \mid \exists a, b \in A \text{ with } a \leq x \leq b\}$. This is the smallest convex set that contains A .

Proposition 0.4 If $H \subseteq \Gamma$ is a subgroup, H_{conv} is a subgroup.

Indeed if $a \leq x \leq b$, and $a' \leq x' \leq b'$ then $aa' \leq xx' \leq bb'$, and $a^{-1} \geq x^{-1} \geq b^{-1}$. \square

Proposition 0.5 If $X \subseteq \Gamma$ is a convex subset that contains 1, then $\langle X \rangle$ (the subgroup generated by X) is convex.

D : Let's show that $X.X$ is convex : let $a, b, c, d \in X$ and $ab \leq x \leq cd$. Put $\{a, b, c, d\} = \{\alpha, \beta, \gamma, \delta\}$, s.t. $\alpha \leq \beta, \gamma, \delta$. Then $\alpha\beta \leq ab \leq x \leq cd \leq \gamma\delta$, so that we can assume that $a \leq b \leq c \leq d$. Then $ab \leq cb \leq cd$.

If $ab \leq x \leq cb$, $a \leq xb^{-1} \leq c$, and $xb^{-1} \in X$ since it is convex, so since $b \in X$, $x = xb^{-1}.b \in X.X$. Otherwise $cb \leq x \leq cd$ and $b \leq xc^{-1} \leq d$ and $x = xc^{-1}.c \in X.X$.

Define $X' = X \cap X^{-1}$ which is convex since $1 \in X$ and X^{-1} , then $\langle X \rangle = \bigcap_{n>0} X'^n$ is then convex. \square

The hypothesis $1 \in X$ is necessary as shows the exemple $X = \{2\} \subseteq (\mathbb{Z}, +, \leq)$, where $\langle 2 \rangle = 2\mathbb{Z}$ is not convex.

Corollaire 0.1 If $X \subseteq \Gamma$ $\langle (X \cup \{1\})_{conv} \rangle = \langle X \rangle_{conv}$

Indeed $\langle (X \cup \{1\})_{conv} \rangle \supseteq \langle X \rangle$ and is convex so $\langle (X \cup \{1\})_{conv} \rangle \supseteq \langle X \rangle_{conv}$. And $\langle X \rangle_{conv} \supset X \cup \{1\}$ and is a group, so $\langle X \rangle_{conv} \supseteq \langle (X \cup \{1\})_{conv} \rangle$. \square

Define $\text{Conv}(\Gamma)$ as the set of convex subgroups of Γ . Then it is totally ordered for inclusion. It has the lower and upper bound properties (take \cap and \cup).

Call a convex subgroup $\langle g \rangle_{conv}$ a principal subgroup. Note that a convex subgroup isn't necessarily principal.

For instance take $\Gamma = (\mathbb{Z}, +, \leq)^{(\mathbb{Q})}$, that is the sequences indexed by \mathbb{Q} almost everywhere zero, and ordered by the lexicographic order. Then $H_{\sqrt{2}} = \{x \in \Gamma \mid \text{supp}(x) \subseteq]-\infty, \sqrt{2}]\}$ is a convex subgroup, which is not principal, since any principal subgroup is of the form $H_a = \{x \in \Gamma \mid \text{supp}(x) \subseteq]-\infty, a]\}$ for $a \in \mathbb{Q}$.

In fact for any $b \in \mathbb{R}$, $H^b = \{x \in \Gamma \mid \text{supp}(x) \subseteq]-\infty, b[\}$ is also a non principal subgroup.

Définition 0.5 Let $H \subseteq \Gamma$ a subgroup, then $\gamma \in \Gamma$ is cofinal in H if $\forall h \in H, \exists n \in \mathbb{N}$ s.t. $\gamma^n < h$.

Proposition 0.6 g is cofinal in H iff $\langle g \rangle_{conv} \supseteq H$ and $g < 1$.

\Rightarrow : Since $\exists n$ s.t. $1 > g^n$, we have $1 > g$. let $h \in H$. If necessary, let's take h^{-1} , so that $h \leq 1$. Then there exists a $n \geq 0$ s.t. $1 \geq h \geq g^n$, $\Rightarrow h \in \langle g \rangle_{conv}$.

\Leftarrow : let $h \in H$, here again, taking h^{-1} if necessary, we can assume that $1 \geq h$. Then there exists a $n \in \mathbb{N}$ s.t. $g^n \leq h \leq 1$, and then $g^{n+1} < h$, so g is cofinal in H . \square .

Proposition 0.7 *Let (H_i) be a increasing family of subgroups s t g is cofinal in each H_i . Then g is cofinal in $H = \cup H_i$.*

D : if $h \in H$ then $h \in H_i$ for one i and then g being cofinal for $H_i \exists n$ s t $g^n < h$. \square

Corollaire 0.2 *Let $g < 1$. Then there exists a bigger convex subgroup H of Γ s t g is cofinal in H . In fact $H = \langle g \rangle_{conv}$*

D : The family \mathcal{F} of convex subgroups G for which g is cofinal is non empty $\{1\}$ works, then $H = \cup_{G \in \mathcal{F}} G$ is a convex subgroup, and g is cofinal for H according to the previous proposition. Clearly it is maximal for this property.

Now, g is cofinal in $\langle g \rangle_{conv}$, indeed $x \in \langle g \rangle_{conv}$ implies there exists $n \geq 0$ such that $g^n \leq x$ so $g^{n+1} < x$ and so $H \supseteq \langle g \rangle_{conv}$. Now if $h \in H$, takin h^{-1} if necessary we can assume $h \leq 1$. Then $\exists n > 0$ s t $g^n < h \leq 1$ and $h \in \langle g \rangle_{conv}$. \square

Remarque 1 *Let $X \subseteq \Gamma_{<1} = \{g \in \Gamma \mid g < 1\}$. Define*

$Conv(X) = \{H \text{ convex subgroup such that } \forall x \in X, x \text{ is cofinal in } H\}$. *Since it stable by \supseteq , and nonempty ($\{1\} \in Conv(X)$) we can (taking its lower bound, i.e. intersection) see it has a subgroup : the smallest such that... Then from what we have done, $Conv(X) = \cap_{x \in X} \langle x \rangle_{conv}$.*

1 F-adic rings

Proposition 1.1 $A^{\circ\circ} \subseteq A^\circ$

D : Let $a \in A^{\circ\circ}$, and V a 0 neighborhood. There exists W a 0 neighborhood such that $W.W \subseteq V$. There exists N such that $n \geq N$ implies $a^n \in W$. For each $i = 0 \dots N - 1$ there exists W_i a 0 neighborhood s t $a^i W_i \subseteq V$. Then if $U = W_0 \cap \dots \cap W_{N-1} \cap W$ then for each i $a^i U \subseteq V$. \square

An adic ring is bounded . Indeed, if I is an ideal of definition of A , then if V is a 0 neighborhood, there exists a n s t $I^n \subseteq V$ and $I^n A = I^n \subseteq V$.

For S and T two subsets of A , le $S.T$ be subgroup of $(A, +)$ generated by the elements st , $s \in S$ and $t \in T$.

Définition 1.1 1. *A topological ring A is f-adic if there exists a subset U and a finite subset of U , T such that $\{U^n \mid n \in \mathbb{N}\}$ is a fundamental system of 0 neighborhood, and $T.U = U^2 \subseteq U$.*

2. *A is called a Tate ring if it is f-adic and has a topologically nilpotent unit.*

A ring of definition of a f-adic ring is an open subring A_0 of A which is adic.

Proposition 1.2 (Prop 1) *Let A ba a f-adic ring. Then*

1. *A has a ring of definition.*
2. *A subring A_0 is a ring of definition iff it is open and bounded.*
3. *Every ring of definition of A has a finitely generated ideal of definition.*

It is then clear that a topological ring A is f-adic iff it has a an open subring A_0 , which is adic for a finitely generated ideal I (since in this case A is clearly f-adic).

D : Let W be the subgroup of A generated by U . Since $U^2 \subseteq U$, we can conclude that $W^2 \subseteq W$. Let $B = \mathbb{Z} + W$. Then B is a subgroup of A for its additive law. It is also stable by multiplication : $(n + w).(m + w') = nm + mw + nw' + ww' \in B$ ($W^2 \subseteq W$). B is then a subring. It is open since it contains U which is an 0 neighborhood , and a subgroup of a topological group is open iff it contains a 0 neighborhood. For $n \geq 2$, $B.U^n = U^n$, because $\mathbb{Z}.U^n = U^n$, and $W.U^n = U^{n+1}$, and the fact that $U^2 \subseteq U$ implies that $U^{n+1} \subseteq U^n$. Hence the U^n being a fundamental system of neighborhoods of 0 implies that B is open.

Hence we can introduce A_0 an open and bounded subring of A .

For $n \in \mathbb{N}$ define the finite set $T(n) = \{t_1, t_2, \dots, t_n \mid t_i \in T\}$. Since $T \subseteq U$ and $T.U = U^2$,

$T(n) \subseteq U^n$. In particular since the U^n form a fundamental system of neighborhood and A_0 is open, $\exists k$ s t $T(k) \subseteq A_0$. Put then $I = t(k).A_0$. Let's show that I^n (seen here as an ideal of A_0) is a fundamental system of neighborhood of 0 (in A , or A_0 , it is equivalent since A_0 is open).

First, there exists a m s t $U^m \subseteq A_0$, and then for $n \in \mathbb{N}$, one easily sees that $I^n = T(nk)A_0 \supseteq T(nk)U^m = U^{nk+m}$, so I^n is a 0 neighborhood.

Let V be a 0 neighborhood. Then there exists m s t $U^l A_0 \subseteq V$ because A_0 is bounded. But now $I^m = T(mk)A_0 \subseteq U^{mk}A_0 \subseteq U^m A_0 \subseteq V$. Hence A_0 is a ring of definition for I , and I is of finite type, which proves (i) and (ii).

Now if A_0 is a ring of definition of A , as noted previously, A_0 is bounded (in A_0 , so in A too), since it is adic. So by what we have done, it has a finitely generated ideal of definition. \square

Now then, for A a f -adic ring, we will consider it coming with a couple (A_0, I) , with A_0 a ring of definition and I an ideal of definition. Then the I^n form a fundamental system of neighborhood of 0.

Lemme 1.1 *Let A be a f -adic ring, S and T bounded subsets. Then $S.T$ is bounded.*

D : let (A_0, I) a ring of definition, and I^n a 0 neighborhood. $\exists m$ s t $SI^m \subseteq I^n$. $\exists p$ s t $TI^p \subseteq I^m$. Then, if $s, t \in S \times T$, and $a \in I^p$, $ta \in I^m$ so $sta \in I^n$. Since I^n is a subgroup, one then conclude that $(S.T)I^p \subseteq I^n$. \square

Corollaire 1.1 *Let A be a f -adic ring.*

1. *If A_0 and A_1 are rings of definition, then $A_0.A_1$ and $A_0 \cup A_1$ also.*
2. *If B is a bounded subring, and C an open subring with $B \subseteq C$ subseteq A , there exists A_0 a ring of definition with $B \subseteq A_0 \subseteq C$*
3. *A° is a subring, and it is the union of all rings of definition.*

D :

(i) the second point of the previous proposition shows that A_0 and A_1 are open and bounded. Then $A_0 \cup A_1$ is also open, and bounded. Then $A_0.A_1$ is also open (it contains A_0), and bounded according to the lemma. So the second point of the proposition shows that there are ring of definition.

(ii) Let A_1 be a ring of definition. Then $B.A_1$ is a subring, bounded (previous lemma), and open (contains A_0), so is a ring of definition. Then $A_0 = A_1 \cup C$ is an open bounded subring so is a ring of definition, and $B \subseteq A_0 \subseteq C$.

(iii) Let (A_0, I) be a ring of definition for A . First 0 and 1 $\in A^\circ$.

Let now $a, b \in A^\circ$, and I^n be a 0 neighborhood. There exists m s t $\{a^k, k \in \mathbb{N}\}I^m \subseteq I^n$ and $\{b^k\}I^m \subseteq I^n$. Then for $r, s \in \mathbb{N}$, $a^r b^s I^{2m} = a^r (b^s I^m) I^m \subseteq a^r I^{n+m} \subseteq a^r I^m \subseteq I^n$. Since $(a+b)^k = \sum \binom{k}{l} a^l b^{k-l}$, one has $(a+b)^k I^{2m} \subseteq I^n$, and $(ab)^k I^{2m} \subseteq I^n$, i.e. $a+b$ and $ab \in A^\circ$. So A° is a subring.

Now if X is bounded, then $X \subseteq A^\circ$, in particular for any A_0 ring of definition, $A_0 \subseteq A^\circ$. On the other hand, \mathbb{Z} is bounded (this is a consequence of the fact that some ring of definition exist, that they are bounded and contain \mathbb{Z} , more simply because $\mathbb{Z}I^n \subseteq I^n$). Let now $x \in A^\circ$. Then by definition $\{x^n\}$ is bounded, so $B = \mathbb{Z}. \{x^n\} = \mathbb{Z}[x]$ is a bounded subring. $B \subseteq A$ which is open (!), so with (ii) there exists a ring of definition A_0 with $B \subseteq A_0$, and then $x \in A_0$. So $A^\circ = \cup_{A_0} A_0$. \square

Proposition 1.3 *If A is f -adic A° is a subring (except it doesn't contain 1)*

same proof

Corollaire 1.2 1. *An adic ring is f adic iff it has a finitely generated ideal of definition.*

2. *A f adic ring is adic iff it is bounded*

3. *Let A be a topological ring and B an open subring. Then A is f adic iff B is.*

D :

(i) \Rightarrow is a consequence of (ii) of the prop.

\Leftarrow : already seen.

(ii) Let A be f -adic. If A is adic it is bounded (this is true without the assumption f -adic). Conversely, if A is bounded, then (ii) of the proposition, since A is bounded and open in A f -adic, it is a ring of definition, hence is adic.

(iii) If B is f -adic, one can find (B_0, I) ring of definition for B , and since B is open, (B_0, I) is also a ring of definition for A hence A is f adic. Conversely, if A is f -adic, and B an open subring. Then \mathbb{Z} is a bounded subring, and $\mathbb{Z} \subseteq B$ which is open. Then accordingly to (ii) of the previous corollary, there exists a ring of definition (A_0, I) for A such that $A_0 \subseteq B$. This makes B a f -adic ring.

Remarque 2 Let A a topological ring.

* A° is not necessarily a subring. For instance, $A = \mathbb{R}, \|\cdot\|_\infty$ then $A^\circ = [-1, 1]$ is not a subring.

* A° isn't necessarily open, take \mathbb{R} again, where $[-1, 1]$ isn't open. From what we've seen, these two properties are true for f -adic ring.

* A° isn't bounded.

exemple 1 Take C non reduced, and then a non zero s t $a^n = 0$. Put $B = C[X]$, and $A = B_X = C[X, X^{-1}]$ with the induced structure of a Tate ring (cf exemple 1.1 (iv) of [5]) then $\frac{a}{X^m} \in A^\circ$ for all m , because $\frac{a}{X^m}^n = 0$, but if there existed a p s t $A^\circ(X^p) \subseteq B = C[X]$, we would have $\frac{a}{X^{p+1}} X^p = \frac{a}{X} \in B$ which is absurd.
But here A is not reduced.

exemple 2 Put $B = k[X_i, X]_{i \geq 0} / (X_i^2 = X)$, and $A = B_X$ with the induced Tate structure. Put $a_n = \frac{X_1 \dots X_{2n}}{X^n}$. Then $a_n^2 = 1$, so a_n^m depends only on the parity of m , and $a_n \in A^\circ$. So for every m , we have $a_{m+1} X^m = \frac{X_1 \dots X_{2m+2}}{X} \notin B$.
But here B isn't noetherian, nor integral $(X_1 - X_2)(X_1 + X_2) = 0$.

exemple 3 case with B noetherian and integral ?

Proposition 1.4 Let A be a height 1 valuation ring. Then $k = qf(A)$, with the topology induced by A is a Tate ring.

D : $A = k^\circ$ is an open subring, and A is adic with a finitely generated ideal of definition. Indeed, let $x \in k^\circ$ and $x \neq 0$, i.e. such that $x \in \mathfrak{M}_A$, i.e. $0 < v(x) < 1$. Put $I = (x) = A.x$. Then I is an ideal of definition of A . Moreover, x is a nilpotent unit of k .

Définition 1.2 A ring homomorphism $f : A \rightarrow B$ between f -adic rings is called adic if there exist (A_0, I) and (B_0, J) rings of definition such that $f(A_0) \subseteq B_0$ and $f(I).B_0 = J$.

Lemme 1.2 (1.8(i)) If $f : A \rightarrow B$ is an adic ring homomorphism and $T \subseteq A$ is bounded, so is $f(T)$.

D : let m , and so $J^m = B_0(f(I)^m)$ a 0 neighborhood. Then $\exists p$ such that $T.I^p \subseteq I^m \Rightarrow f(T).J^p \subseteq J^m$. \square

Remarque 3 If $f : A \rightarrow B$ is a ring homomorphism, then $f(A^\circ) \subseteq f(B^\circ)$, and if f is adic, then from the lemma, $f(A^\circ) \subseteq B^\circ$: because if $\{a^n\}$ is bounded, so is $f\{a^n\} = \{f(a)^n\}$.

1.1 Microbial valuation

Proposition 1.5 Let (K, v) be a valued field. Then the topologies of $(K, +)$ having $U_g = \{x \in K \mid v(x) < g\}$, and $V_g = \{x \in K \mid v(x) \leq g\}$ as fundamental system of neighborhood of 0 make K a topological field and are the same.

Définition 1.3 Call the height of Γ the number of convex (called isolated in [2]) subgroups of Γ (possibly ∞).

If A is a valuation ring, call the height of A , the height of its value group.

[2, prop 5 §4], the height of A is the number of non-zero prime ideals of A , i.e. its Krull dimension

Proposition 1.6 (prop 8 §4 [2]) Γ is of height 1 iff Γ is a subgroup of $(R, +, \leq)$.

Définition 1.4 [6, p. 39] a non archimedean field is a topological field whose topology is defined by a rank 1 valuation.

Proposition 1.7 Let K be a field, ν, ν' 2 valuations that are not unproper (unproper = trivial). According to [2, prop 3 §7] they define the same topology on K iff they are dependant, i.e. the ring generated by A_ν and $A_{\nu'}$ is not K .

Let A be microbial, v the valuation it induces on A and $K = qf(A)$, then there exists, w another valuation, which is of height one such that they define the same topology. Let B the subring of K generated by $A = A_v$ and A_w . Then we have seen that $B \neq K$ and so [2, prop 1 §4] B is a valuation ring of K , let's call u its valuation. Then $A_w \subseteq B \neq K$, and [2, prop 4 §4] the subrings containing A_w correspond bijectively with the convex subgroups of $\Gamma_w \subseteq (\mathbb{R}, +)$. In that cas the only convex subgroups of Γ_w are $\{0\}$ and Γ itself, corresponding to the subrings A_w and K . So $B = A_w$ and $A_w \subseteq A$, i.e. we have proved that if A and B are dependant valuation ring and B is of hight 1, then $A \subseteq B$.

If A is a valuation ring, Γ its value group, $K = qf(A)$ there are correspondances :

$\{\mathfrak{p}$ prime ideals of $A\}$	$\leftrightarrow \searrow$	$\{B \mid A \subseteq B \subseteq K, B \text{ subring}\}$	$\leftrightarrow \nearrow$	$\{H \subseteq \Gamma, \text{convex subgroup}\}$
\mathfrak{p}	\mapsto	$A_{\mathfrak{p}}$		
$\mathfrak{m}_B \cap A = \mathfrak{m}_B$	\leftarrow	B		
		$B, \exists \lambda : \Gamma_A \rightarrow \Gamma_B$ <i>s.t.</i> $v_B = \lambda \circ v_A$	\mapsto	$H_B = \text{Ker}(\lambda)$
		$v_H = \lambda_H \circ v_A$ where $\lambda_H : \Gamma \rightarrow \Gamma/H$	\leftarrow	H

These correspondances are [2, 3, §3 and 1 §4].

Hence A is of height 1 iff A is maximal for the subrings of K such that $A \subset B \subset K$ iff Γ_A doesn't have any convex subgroups except $\{0\}$ and Γ_A iff A is of Krull dimensio 1, i.e. its only prime ideals are $\{0\}$ and \mathfrak{m}_A .

Proposition 1.8 Let Γ be an orderd group. Then it has a convex subgroup $G \neq \Gamma$ maximal iff $\exists x \in \Gamma$ such that $\langle x \rangle_{conv} = \Gamma$.

D : \Rightarrow Let $G \subsetneq \Gamma$ with G convex and maximal. Let $x \in \Gamma \setminus G$. The convex subgroups being totally orderd, and since $x \notin G$, $G \subsetneq \langle x \rangle_{conv}$ so $\langle x \rangle_{conv} = \Gamma$ because of the maximality of G .

\Leftarrow Let $G = \cup_{H \subsetneq \Gamma} H$ convex. Since convex subgroups are stable by union (for instance because they are totally orderd), G is convex. Since $x \notin H \forall H$ in the union, $x \notin G$ hence $G \subsetneq \Gamma$, and is maximal for this property. \square

Hence a valuation ring A is microbial
 $\Leftrightarrow \exists A \subseteq B \subsetneq K$ with B of height 1
 $\Leftrightarrow \exists A \subseteq B \subsetneq K$ with B maximal
 $\Leftrightarrow A$ contains a prime ideal $\mathfrak{p} \neq 0$ minimal
 $\Leftrightarrow \Gamma$ contains a convex subgroup maximal $\neq \Gamma$.
 $\Leftrightarrow \exists g \in \Gamma$ such that $\Gamma = \langle g \rangle_{conv}$.

Définition 1.5 [6, p. 40] A valuation ring A is microbial if it satisfies one of the following equivalent property :

1. $qf(A)$ (with the topology induced by A) is a non archimedean field.
2. $qf(A)$ is a Tate ring.
3. $qf(A)$ has a topologically nilpotnet unit.
4. A is non-discrete and adic
5. A has a prime ideal of height 1.

D : 1 \Rightarrow 2 is proposition 1.4.

2 \Rightarrow 3 is in the definition of being a Tate ring.

3 \Rightarrow 1 : let x be a nilpotent unit. Then $x^n \rightarrow 0$, and it is esay to see that $\langle x \rangle_{conv} = \Gamma$ and we are done with the preceding remark.

1 \Rightarrow 4 : Since A is of height 1, it is not discrete ($\{0\}$ is not open), and if B is a valuation ring of height 1 of $qf(A)$ that induces the same toplogy that A we can pick $x \in \mathfrak{m}_B$ small enough such that $x \in A$ (since A is a neighborhohd of 0), and then we see that if $I = A.x$, then A is I -adic.

4 \Rightarrow 1 : if A isn't discrete and adic. Let $i \in I \setminus \{0\}$ (this is possible precisely because A is not discrete so $I \neq \{0\}$). Then $\forall g \in \Gamma$, there exists a n such that $I^n \subseteq \{a \in A \mid v(a) < g\}$ hence $v(i)^n < g$ and using the fact that $v(A) \leq 1$ we have that $\langle i \rangle_{conv} = \Gamma$.

1 \Leftrightarrow 5 was in the previous remark. \square

example : Let $K = k(x, y)$, and $v_1 : K \rightarrow \mathbb{Z}_{lex}^2$
 $P = \sum a_{(n,m)} x^n y^m \mapsto -\min((n, m) \mid a_{(n,m)} \neq 0)$.

It is a valuation ([2, §3, ex. 6], with the general cas $v : k[\Gamma^+] \rightarrow \Gamma$, $\sum a_g x^g \mapsto -\min(g \mid a_g \neq 0)$).
 Let

$$v_2 : \begin{array}{ccc} k(x, y) & \rightarrow & \mathbb{Z} \\ \sum a_{n,m} x^n y^m & \mapsto & -\min\{n \mid \exists m \text{ such that } a_{(n,m)} \neq 0\} \end{array}$$

Let $\pi : \mathbb{Z}^2 \rightarrow \mathbb{Z}$, $(n, m) \mapsto n$. Then $v_2 = \pi \circ v_1$. Let's call \mathcal{T}_i the topologies generated by v_i .

$\|\mathcal{T}_1 = \mathcal{T}_2$.

Let $V_{(n,m)} = \{f \in K \mid v_1(f) < (n, m)\}$ and $U_p = \{f \mid v_2(f) < p\}$.

Then $\forall (n, m)$, $U_{n-1} \subseteq V_{(n,m)}$ so $\mathcal{T}_1 \subseteq \mathcal{T}_2$. Conversely, $\forall (n, m)$, $V_{(n,m)} \subseteq U_n$ so $\mathcal{T}_2 \subseteq \mathcal{T}_1$. \square

In fact since $v_2 = \pi \circ v_1$, $A_{v_1} \subseteq A_{v_2}$ and they are proper valuation, so cf prop 1.7, they define the same topology. In this exemple, A_{v_2} is not a valuation of height 1, but it is micorbial.

a valuation not micorbial

Let $\Gamma = \mathbb{Z}^{(\mathbb{N})}$ (the sequences in \mathbb{Z} with finite support), with the (reverse) lexicographic order, i.e. $x = (x_0, \dots, x_n, 0, \dots)$ with $\text{supp}(x) \subseteq \{0, \dots, n\}$, and the same thing for y , if $x_n > y_n$ then $x > y$. More generally, if $x_i = y_i$ for $i > n$ and $x_n > y_n$ $x > y$. We can then define

$v : k(x_i)_{i \in \mathbb{N}} \rightarrow \mathbb{Z}^{(\mathbb{N})}$ by $v(\sum a_\nu x^\nu) = -\min(\nu \mid a_\nu \neq 0)$. It is easy to see that the convex subgroups of $\Gamma = \mathbb{Z}^{(\mathbb{N})}$ are the $\Gamma_n = \{x \mid \text{supp}(x) \subseteq \{0, \dots, n\}\} = \langle x \rangle_{conv}$ for any x of the form $x = (x_0, \dots, x_n, 0, 0, \dots)$ with $x_n \neq 0$, and hence there doesn't exist a proper maximal convex subgroup. Hence A_v is not micorbial. (we could also have seen, that for any x , $\langle x \rangle_{conv} \neq \Gamma$)

Remarque 4 We can extend the definition of being micorbial to fields (this is actually nothing since a field is a valuation ring), and to valued ring $v : A \rightarrow \Gamma$, by saying that if $B = A/\text{supp}(v)$ and $K = qf(B)$, K is micorbial. All the preceding properties work as well.

Here is an exemple with

$$\begin{array}{ccc} A & \xrightarrow{v} & \Gamma_0 \\ \downarrow \phi & & \downarrow i \\ B & \xrightarrow{w} & H_0 \end{array}$$

with $v \sim w \circ \phi$, w micorbial but v not micorbial.

Inded take v not micorbial on $A = k$ a field. Then put $B = k[x]$, $H = \mathbb{Z} \times \Gamma$ and $w(\sum a_i X^i) = \max((-i, v(a_i)) \mid a_i \neq 0)$. It is a micorbial valuation on B for instance $\langle (1, 0) \rangle_{conv} = H$, or $0 \times \Gamma$ is a maximal proper convex subgroup).

2 Valuation Spectrum

2.1 compacty, filters

cf[3, §6,7,9]

Let X be a topological space, \mathcal{F} a filter on X , $x \in X$, $\mathcal{B}(x)$ the filter of neighborhohd of x . We say that \mathcal{G} is finer than \mathcal{F} if $\mathcal{G} \supseteq \mathcal{F}$

Définition 2.1 x is a limit point of \mathcal{F} if it is finer than $\mathcal{B}(x)$, i.e. every neighborhood contains an element of \mathcal{F} .

\mathcal{B} is said to be a base of filter if it is stable by finite intersection, and doesn't contains \emptyset .

Définition 2.2 Let \mathcal{B} be a base of filter, x is adherent to \mathcal{B} if for every $B \in \mathcal{B}$, $x \in \bar{B}$.

If \mathcal{F} is finer than \mathcal{G} and x adherent to \mathcal{F} , then it is also adherent to \mathcal{G} .

Proposition 2.1 (§6, cor 2) Let $\Phi = \{\mathcal{F}_i\}$ a set of filter. There exists a filter finer than all the \mathcal{F}_i iff for all $\mathcal{F}_1 \dots \mathcal{F}_n \in \Phi$ and $F_i \in \mathcal{F}_i$, $F_1 \cap \dots \cap F_n \neq \emptyset$.

So x is adherent to \mathcal{F}
 $\Leftrightarrow \forall F \in \mathcal{F}$ and $U \in \mathcal{B}(x)$, $F \cap U \neq \emptyset$.
 $\Leftrightarrow \exists$ a filter \mathcal{G} finer than \mathcal{F} and $\mathcal{B}(x)$. Indeed consider for $U \in \mathcal{B}(x)$ the filter $\mathcal{F}_U = \{V \mid U \subseteq V\}$, and apply the proposition with $\Phi = \{\mathcal{F}_U \mid U \in \mathcal{B}(X)\} \cup \{\mathcal{F}\}$.
 $\Leftrightarrow \exists$ a filter \mathcal{G} finer than \mathcal{F} which converges to x .

Corollaire 2.1 Let \mathcal{U} be a ultrafilter. \mathcal{U} converges to x iff x is adherent to it.

Définition 2.3 (Prop) X is quasi compact if it satisfies one of the following properties :

1. every filter has an adherent point
2. every ultrafilter is convergent
3. Every family of closed set whose intersection is empty has a finite subfamily whose intersection is empty.
4. Every open cover has a finite subcover.

D : (i) \Rightarrow (ii) Let \mathcal{U} be a ultrafilter, it has an adherent point, and so converges to it.

(ii) \Rightarrow (i) : let \mathcal{F} be a filter, \mathcal{U} a ultrafilter which is finer, it converges to x say, so x is adherent to \mathcal{U} and also to \mathcal{F} .

(i) \Rightarrow (iii) Let $\{F_i\}$ be a family of closed subsets whose intersection is empty. Let's suppose that every finite intersection is non empty. Then there exists a filter \mathcal{F} that contains all the F_i . Let x be an adherent point, so $x \in \bar{F}_i = F_i$ for all i , which contradicts $\cap_i F_i = \emptyset$.

(iii) \Rightarrow (i) Let \mathcal{F} be a filter, and suppose it has no adherent point. Then $\forall x \in X, \exists F_x \in \mathcal{F}$ with $x \notin \bar{F}_x$, and since $F_x \in \mathcal{F}$, \bar{F}_x too. So the \bar{F}_x have the finite intersection property, however by construction, their intersection is empty, which contradicts (iii).

(iii) and (iv) are dual. \square

2.2 remark on compactity

A (open) basis of X is a family \mathcal{B} (of open subsets necessarily from the following definition), such that the open of X are the (arbitrary) union of elements of \mathcal{B} . Dually, it will be called a closed basis, if the closed sets are the intersection of elements of \mathcal{B} .

A (open) sub-basis of X is a family \mathcal{C} (of open subsets necessarily from the following definition), such that the open of X are the (arbitrary) union of finite intersection of elements of \mathcal{C} . Dually, it will be called a closed sub-basis, if the closed sets are the intersection of finite union of elements of \mathcal{C} . The family \mathcal{B} of finite intersection of \mathcal{C} is then clearly a basis, called the basis generated by \mathcal{C} .

Let \mathcal{C} be a subbasis, and \mathcal{B} the basis it generates. Taking the complementary, we give the same name to the (sub)-basis of closed or open sets by taking the complementary

Then the following are equivalent :

X is quasi compact

\Leftrightarrow Every open cover has a finite subcover

\Leftrightarrow Every open cover by elements of \mathcal{B} has a finite subcover.

\Leftrightarrow Every family of closed set of \mathcal{B} whose intersection is empty has a finite subfamily whose intersection is empty.

Proposition 2.2 *The following are equivalent :*

1. *Every family of closed set of \mathcal{B} whose intersection is empty has a finite subfamily whose intersection is empty.*
2. *Every family of closed set of \mathcal{C} whose intersection is empty has a finite subfamily whose intersection is empty.*

D : clearly since $\mathcal{C} \subseteq \mathcal{B}$ (i) \Rightarrow (ii).

Let's suppose (ii), and let $\mathcal{F} = \{F_i\}$ be a family of closed subsets of \mathcal{B} with the finite intersection property. Let's show that $\bigcap_i F_i \neq \emptyset$.

Let A be a maximal family of closed subsets of \mathcal{B} such that $A \supseteq \{F_i\}$ and has the finite intersection property. (such an A exists with Zorn's Lemma). So $\bigcap_{F \in A} F \subseteq \bigcap_i F_i$ so it is enough to show that $\bigcap_{F \in A} F \neq \emptyset$. We now suppose $\{F_i\}$ maximal. It is eqsy to see that it implies that the family is stable by finite intersection. Every F_i can be written : $F_i = F_i^1 \cup \dots \cup F_i^{n_i}$ (should write n_i instead of n ...) Let's show that for every i there exists a j with $F_i^j \in \mathcal{F}$

Let $j \in \{1 \dots n\}$. If $\forall G \in \mathcal{F} G \cap F_i^j \neq \emptyset$ then the family $\mathcal{F} \cup \{F_i^j\}$ still has the finite intersection property and we are done. Otherwise, for all j there exists a $G_j \in \mathcal{F}$ such that $G_j \cap F_i^j = \emptyset$. Then $G = \bigcap_j G_j \in \mathcal{F}$, but $\forall j, G \cap F_i^j = \emptyset$ so $G \cap F = \emptyset$, which is a contradiction (with the FIP).

So $\forall i$, there exists a j_i such that $F_i^{j_i} \in \mathcal{F}$. Then $\bigcap_i F_i^{j_i} \subseteq \bigcap_i F_i$ and since by construction $f_i^{j_i} \in \mathcal{C}$ (ii) implies that $\bigcap_i F_i^{j_i} \neq \emptyset$, so $\bigcap_i F_i \neq \emptyset$.

Here is another proof : let's show that X is quasi compact, i.e. satisfies the property, every ultrafilter converges to some x . Indeed let \mathcal{U} be an ultrafilter and let's suppose it doesn't converge to any x . Then for every x we can find $F \subseteq \mathcal{U}$ such that $x \notin \bar{F}$. Then there exists $F_1 \dots F_n$ some closed of \mathcal{C} such that $G = F_1 \cup \dots \cup F_n \supseteq \bar{F}$, and $x \notin F_1 \cup \dots \cup F_n = G$. Then $G \in \mathcal{U}$ so there exists one i such that $F_i = F_x \in \mathcal{U}$ since it is an ultrafilter. But the $F_x \in \mathcal{C}$, they have the FIP, but have empty intersection since $\forall x, x \notin F_x$.

□

We can then deduce that :

Proposition 2.3 *X is quasi compact*

\Leftrightarrow *Every family of closed set of \mathcal{C} whose intersection is empty has a finite subfamily whose intersection is empty.*

\Leftrightarrow *Every open cover by elements of \mathcal{C} has a finite subcover.*

2.3 constructible sets

cf *EGA*₀ §9.

Définition 2.4 *$Z \subseteq X$ is retrocompact iff $\forall U$ qc open, $Z \cap U$ is qc (note that it is equivalent that $Z \cap U$ is qc in Z or in U since this only depend on the topology of $Z \cap U$), i.e. if $i : Z \subseteq X \hookrightarrow X$ is quasi-compact.*

Définition 2.5 *$S \subseteq X$ is constructible if it is in the boolean algebra $(\cap, \cup, ^c)$ generated by the open retrocompact.*

Proposition 2.4 *Let $V \subseteq X$ retrocompact and U open in X , then $V \cap U$ is retrocompact in U .*

D : let $W \subseteq U$ a qc open. Then $(V \cap U) \cap W = V \cap W$. Since W is qc in X , and V retrocompact, $V \cap W$ is qc in X , so also in U . □

cf rq après 9.1.1 :

Remarque 5 1. *if V_1 and V_2 are retrocompact, $V_1 \cup V_2$ too.*

2. If V_1, V_2 are retrocompact open, then $V_1 \cap V_2$ too.

Indeed; (i), if $U \subseteq X$ is open qc, $V_1 \cap U$, and $V_2 \cap U$ are qc. Quasi compact sets are stable by finite union so, $(V_1 \cup V_2) \cap U$ is qc.

(ii) If $U \subseteq X$ is qc open, $V_1 \cap U$ is qc open, so $V_2 \cap (V_1 \cap U)$ too.

This is probably the reason why in EGA, the retrocompact sets are introduced, because, the retrocompact open are stable by intersection, whereas qc not necessarily (unless you make the assumption X is quasi-separated...which is tautological).

If X is Hausdorff, U is qc open, iff U is compact open iff U is compact open-closed.

Proposition 2.5 (EGA0 9.1.8) *If $U \subseteq X$ is open.*

1. *If T is constructible in X , $T \cap U$ is constructible in U*

2. *If U is in addition retrocompact, the converse is true : if $T \subseteq U$ is constructible in U , it is also constructible in X .*

Définition 2.6 *$T \subseteq X$ is locally constructible, if for every $x \in X$ there exists V an open neighborhood of x such that $T \cap V$ is constructible in X .*

Définition 2.7 (EGA4 1.9) *$E \subseteq X$ is pro-constructible (resp. ind-constructible) if for every $x \in X$ there exists V a neighborhood of x such that $V \cap E$ is an intersection of locally constructible sets (resp union).*

Remarque 6 (cf EGA0 9.1.11) *If $U \subseteq X$ is open, and T locally constructible in X , then $U \cap T$ is locally constructible in U .*

(EGA0 9.1.10) *If X is quasi compact, and has a basis of open retrocompact, then T is constructible iff it is locally constructible.*

(EGA4 1.9.4) *Under these hypothesis, $T \subseteq X$ is pro-constructible iff it is an intersection of constructible : indeed then we can cover X by some finite retrocompact open (since retrocompact open \Rightarrow qc), X_i , say $T = \cup_i T \cap X_i$, and $T \cap X_i = \cup_j T_j^i$ is constructible in X , then $T = \cup_i \cap_{j \in J_i} T_j^i = \cap_{(j_1, \dots, j_n) \in J_1 \times \dots \times J_n} \cup_{i=1 \dots n} T_{j_i}^i$ is an intersection of constructible sets.*

2.4 spectral spaces

Définition 2.8 *X is quasi-separated if for every qc open U and V , $U \cap V$ is qc. Said differently, X is quasi-separated iff the qc open are retrocompact.*

Moreover, if X is quasi-compact quasi-separated, the qc open are precisely the retrocompact open. To give a counter-exemple, let $X = \text{Spec}(k[T_i]_{i \geq 0})$, and $U = X \setminus \{(T_i)_i\}$. Define Y as two copies of X (say X_1 and X_2 , glued along U). Then like X , X_i are qc, but $X_1 \cap X_2 = U$ is not qc.

Remarque 7 *Let X be a topological space such that there exists a basis for the topology $\mathcal{B} = \{U\}$ which are qc, and stable by finite intersection. Then, if V, W are qc open of X , $V \cap W$ is also qc. Indeed write $V = \cup_{i=1 \dots n} V_i$ with $V_i \in \mathcal{B}$. (this is possible because V is qc and \mathcal{B} a basis. Do the same for W , then $V \cap W = \cup_{i,j} V_i \cap W_j$ is then a finite union of qc sets, so is qc. Hence X is quasi-separated.*

If X is a separated scheme, it verifies these hypotheses, so the intersection of two quasi compact is quasicompact.

Définition 2.9 [4, 0] *X is spectral if it is T_0 , quasi-compact, the qc open form a basis and are stable by finite intersection, and every non empty closed irreducible subset has a generic point.*

Remarque 8 *In [5, 2] the definition is with a unique generic point, but without T_0 . This is equivalent : suppose that X is T_0 if $\bar{x} = \bar{y} \Rightarrow x = y$, let U be an open s.t. $x \in U, y \notin U$. Then $x \in \bar{y} \subseteq U^c$ contradiction. Conversely if the generic points are unique, let $x \neq y$, then $\bar{x} \neq \bar{y}$, say, $\bar{x} \not\subseteq \bar{y}$, it implies $x \notin \bar{y}$, then $x \in \bar{y}^c$ which separates x and y .*

From the previous remark, if X is spectral, X is quasi-separated.

It also implies, that in the definition, you can only require that there exists a basis of the topology which with qc open, which are stable by finite intersection (this is the statement ([4], prop4 (i) \Leftrightarrow (ii)).

Proposition 2.6 *Let X be a spectral space. An open U is retrocompact iff it is quasi compact.*

D : \Rightarrow If U is retrocompact, since X is qc, $X \cap U = U$ is qc.
 \Leftarrow : Let V be an open qc. Then $U \cap V$ is qc. \square

In the following part, X will always be a spectral space.

Proposition 2.7 *$T \subseteq X$ is locally constructible iff T is constructible.*

D : T loc constructible iff $\forall x \exists V_x$ x -neighborhood, such that $T \cap V_x$ is constructible in V_x
 $\Leftrightarrow \exists X = X_1 \dots \cup X_n$ such that $T \cap X_i$ is constructible in X_i , and X_i qc, using the fact that qc open form a basis, and that X is qc, and that intersecting with an open preserves constructible sets
 $\Leftrightarrow T$ constructible, since the X_i being quasi-compact, they are retrocompact, and then $T \cap X_i$ constructible in X_i implies it is constructible in X , and $T = \cup_i (T \cap X_i)$. \square

Proposition 2.8 *T is proconstructible iff T is an intersection of constructible sets in X .*

We only have to show \Rightarrow .

T proconstructible iff $\forall x \exists V_x$ such that $E \cap V_x$ is an intersection of locally constructible in V_x
 $\Leftrightarrow \forall x \exists V_x$ qc such that $T \cap V_x$ is an intersection of locally constructible. (using the fact that qc form a basis, and the fact that locally constructible are preserved by intersecting with an open, so intersection of locally constructible are preserved when intersecting with an open)
 $\Leftrightarrow \forall x \exists V_x$ open qc such that $T \cap V_x$ is an intersection of constructible (using the fact that V_x is an open retrocompact of X)
 $\Leftrightarrow X = X_1 \cup \dots \cup X_n$ with X_i qc and $T \cap X_i$ intersection of constructible in X_i . Then since X_i is qc so retrocompact, we see that $T \cap X_i$ is an intersection of constructible of X , say $T \cap X_i = \cap C_{i,j}$. Then $T = \cup_{i=1..n} (\cap_{j_i} C_{i,j}) = \cap_{j_1 \times \dots \times j_n} \cup_{i=1..n} C_{i,j}$ which is an intersection of constructible of X .

Remarque 9 *So what [4] calls the patch topology X_{patch} , is what EGA4 1.9.13, calls the constructible topology X_{cons} . The open subsets are the ind-constructible subsets, and the closed pro-constructible.*

Proposition 2.9 *X_{cons} is compact.*

D : It is Hausdorff, because \exists open qc U that separates two points x, y , so U and U^c are open that separate x, y .

If we use the remark on compactity, let's note \mathcal{C} the subbasis of closed sets of X_{cons} formed by (arbitrary) closed and qc open (from X). Then we have to check that a family A of \mathcal{C} which has FIP has non empty intersection. With Zorn, if we take B a maximal family with the FIP containing A , its intersection will be smaller than that of A , so we can restrict to B , i.e. suppose that A is maximal with the FIP.

$A = \mathcal{F} \cup \mathcal{U}$, the closed, and the qc open. Then, because X is quasi-compact, $G = \cap_{F \in \mathcal{F}} F$ is a closed non empty. Then G has the FIP \mathcal{F}, \mathcal{U} (for the qc open, this is because the $F_i \cap U$ have the FIP, that U is qc so their intersection, which is $G \cap U$ is non empty), so by maximality, $G \in A$. If it wasn't irreducible, let's write it $G = G_1 \cup G_2$. Then if $A \cup \{G_i\}$ $i = 1, 2$ doesn't have the FIP, we would have an $A_i \cap G_i = \emptyset$, whence $G \cap (A_1 \cap A_2) = \emptyset$ but $A_1 \cap A_2 \in A$ absurd. So say $G_1 \in A$ so $G_1 = G$ and G is irreducible, say $G = \bar{g}$, then $g \in F$ for all closed. and if $g \notin U$ for one open, $\bar{g} \cap U = \emptyset$, absurd.

second proof : Let \mathcal{F} be a family of \mathcal{B} , the closed-basis of X_{cons} of pro-constructible sets formed by the $F \cap U$, F closed, and U qc open, with the FIP. With Zorn's Lemma, we can assume it is maximal. Then for $F \cup U \in \mathcal{F}$, F or $U \in \mathcal{F}$, indeed otherwise, there are $A, B \in \mathcal{F}$ with $A \cap F = \emptyset$ and $B \cap U = \emptyset$, then $F \cap A \cap B = \emptyset$ which contradicts FIP. Let then \mathcal{F}_1 be the closed sets of \mathcal{F} and let then \mathcal{F}_2 be the open sets of \mathcal{F} . One has $\cap_{\mathcal{F}} A = \cap_{\mathcal{F}_1 \cup \mathcal{F}_2} A$. Then $F = \cap_{\mathcal{F}_\infty} A$ is a non empty closed set (by hypothesis). It is irreducible, because if $F = F_1 \cup F_2$ with the same argument that above one shows one of the F_i is F . So since X is spectral, $F = \{x\}$ for some $x \in X$, and as above one shows $x \in \cap_{\mathcal{F}} F$. \square

Corollaire 2.2 *If X is spectral, the constructible subsets of X are exactly the closed-open subsets of X_{cons}*

D : \Rightarrow If U is qc open in X , by definition, it becomes a closed open of X_{cons} , and since the closed-open are stable by finite boolean combination we are done.

\Leftarrow Let U be a closed open of X_{cons} . It is then compact, since closed in a compact. Since by definition the $U \cap V^c$ form a basis of X_{cons} for U, V qc open of X , we can write $U = \cup_{i=1\dots n} U_i \cap V_i^c$ with U_i, V_i qc open, so U is constructible. \square

Proposition 2.10 ([4] prop 4) *Let X be quasi-compact, T_0 , has a basis formed by qc open that are closed under finite intersecion. The following are equivalent :*

1. X is spectral
2. Every nonempty irreducible closed subspace has a generic point
3. every family of qc open of a closed subspace with the FIP has finite intersection.
4. X_{cons} is compact and has a basis of closed-open sets.
5. X_{cons} is quasi-compact
6. A family of pro-constructible sets with the FIP has non empty intersection.

D : (i) \Leftrightarrow (ii) this is a consequence of 7.

(i) \Rightarrow (v) is 2.9

(v) \Rightarrow (vi) is just the alternative definition of quasi-compactity, and the fact that the pro-constructible are the closed sets of X_{cons} .

(vi) \Rightarrow (iv) X_{cons} is then quasi-compact. Since the qc open form a basis of X , X_{cons} is Hausdorff (so compact), and in fact by definition, the sets of the form $F \cap U$ with U qc open, and F the complementary of a qc open form by definition a basis of X_{cons} . Their complementary is $F^c \cup U^c$ are also open in X_{cons} , so $F \cap U$ is close-open.

(iv) \Rightarrow (iii) : Let F be a closed set and $\{U_i\}$ a family of quasi-compact open of F with the FIP. Each U_i is qc, $U_i = F \cap V_i$ where V_i is open in X . Hence since the qc open form a basis of X , we can write $V_i = \cup_{j=1\dots n} W_j$, and since each U_i is quasi-compact, there exists a finite subset (say $\{1\dots n\}$) such that $U_i = \cup_{1\dots n} W_j \cap F$. Hence, each U_i is proconstructible in X , and since X_{cons} is compact 2.9, they have non empty intersecion.

(iii) \Rightarrow (ii) Let F be an irreducible closed subset. Put $G = \cap_{U \text{ non-empty qc open of } F} U$. It is non empty (a space Z is irreducible \Leftrightarrow finite intersecions of non empty open are non empty), so the set of non-empty qc open of F has FIP and we use the hypothesis.

Suppose $x \neq y \in G$. Then, $\exists U$ a qc open of X such that say $x \in U$ and $y \notin U$ (because X is T_0 and there is a basis of qc open. Then $U \cap F$ is qc open and non empty, so $y \notin G$ which is absurd. So $G = \{x\}$. Suppose $\{x\} \neq F$. Then $V = F \setminus \{x\}$ is a non empty open of F so contains a non empty qc open of V of F , but $x \notin V$ contradiction. \square

Proposition 2.11 ([4] Prop 7, cf also [5] (rem 2.1 (vi)) *Let (X, \mathcal{S}) a compact space, $\mathcal{B} = \{U\}$ a family of closed-open sets (hence compact) of X . Let \mathcal{T} the topology of X which has \mathcal{B} as a sub-basis.*

Then (X, \mathcal{T}) is $T_0 \Leftrightarrow (X, \mathcal{T})$ is spectral, and in that case the constructible subsets of (X, \mathcal{T}) are precisely the closed-open subsets of (X, \mathcal{S})

D : \Rightarrow is clear.

\Leftarrow Taking finite intersection of \mathcal{B} doesn't change the fact it is formed by closed-open sets of (X, \mathcal{S}) , so we can assume \mathcal{B} is a basis stable under intersection of \mathcal{T} .

By definition, $\mathcal{T} \subseteq \mathcal{S}$, hence it remains quasi-compact, and has a basis (\mathcal{B}) stable under intersection of quasi-compact open and is T_0 by hypothesis. So according to 2.10 we just have to prove that $(X, \mathcal{T})_{cons}$ is compact.

Now, let V be a quasi-compact open of (X, \mathcal{T}) , so by a quasi-compactity $V = \cup_{i=1\dots n} U_i$ with $U_i \in \mathcal{B}$. And $V^c = \cap_{i=1\dots n} U_i^c$. We can deduce from that :

$\iota : (X, \mathcal{S}) \rightarrow (X, \mathcal{T})_{cons}$ is continuous. Since it is bijective, and (X, \mathcal{S}) is compact and $(X, \mathcal{T})_{cons}$ is Hausdorff, it is a homeomorphism (the direct image of a closed is the direct image of a compact so compact). So in fact $(X, \mathcal{S}) = (X, \mathcal{T})_{cons}$ which is then compact

The fact that constructible of (X, \mathcal{T}) are the closed-open of (X, \mathcal{S}) is then 2.2. \square

Remarque 10 X a spectral space ; T proconstructible. Then [5, 2.1(i)] T is qc in the topology of X and X_{cons} . In particular, since X is constructible, it is quasi-compact in X_{cons} . Note that , X_{cons} is Hausdorff : indeed, X is T_0 , so if $x \neq y$, let say U a neighborhood of x not containing y . Since X is spectral, we can assume U is qc , so U and U^c are open in X_{cons} and separate x and y . So , X_{cons} is compact.

Définition 2.10 (cf [4, 0] or [5, 2.2.1]) a map $f : X \rightarrow Y$ between spectral spaces is said spectral if it is continuous and f^{-1} preserves the qc open (which actually implies continuity)

Proposition 2.12 f is spectral iff f is continuous and $f : X_{cons} \rightarrow Y_{cons}$ is continuous.

\Rightarrow let V be an open of Y_{cons} , i.e. a union $\cup_i V_i$ with each V_i constructible, i.e. boolean combination of qc open. Since f^{-1} commutes with boolean combination and preserves qc open $f^{-1}(V_i)$ is constructible.

\Leftarrow : if V is a qc open, it is constructible and $f^{-1}(V)$ is constructible open, so ([5, 2.1 (i)]) open qc. \square

Proposition 2.13 (Dickmann p.90) Let $f : X \rightarrow Z$ and $g : Y \rightarrow Z$ spectral maps. Then $\pi : X \times_Z Y \rightarrow Y$ (taken in Top) is spectral.

D : π factrizes as : $X \times_Z Y \xrightarrow{a} X \times Y \xrightarrow{b} Y$. $X \times_Z Y$ is closed, so proconstructible in $X \times Y$, so [D 3.3.1] a is spectral. with [D p88] , b is spectral too. \square

Are qc map in Top stable by base change ?

Définition 2.11 A topological space X is locally spectral if there exists a covering X_i such that each X_i is spectral.

Remarque 11 In [4] Theorem 9, it is proved that locally spectral spaces are precisely the underlying topological spaces of schemes (what he called prescheme).

Proposition 2.14 (cf [6] p44) A locally spectral space X is spectral iff it is quasi-separated and quasi-compact.

D : \Leftarrow is obvious. Then suppose X locally spectral, quasi-compact and quasi-separated. Then cover it with $X_i, i = 1 \dots n$ that are spectral. For each i consider the inclusion : $f_i : X_i \rightarrow X$. If U is a qc open of X , then $f_i^{-1}(U) = U \cap X_i$ is quasi compact (since X is quasi-separated). We deduce that $f_i : X_{i,cons} \rightarrow X_{cons}$ is continuous. Then since one easily sees that $(X_1 \coprod \dots X_n)_{cons} = X_{1,cons} \coprod \dots \coprod X_{n,cons}$, one sees from the second one that it is compact, and $f : (X_1 \coprod \dots X_n)_{cons} \rightarrow X$ is continuous and surjective, so X_{cons} is compact. Now, X is T_0 , quasi compact and quasi-separated, (this is local property (contrary to being T_2) , so [4, Prop 4 (v)] X is spectral. \square

Proposition 2.15 If $f : X \rightarrow Y$ is spectral , and $T \subseteq X$ proconstructible, then $f(T)$ is proconstructible.

D : T proconstructible mean T closed in X_{cons} , since f spectral $\Leftrightarrow f : X_{cons} \rightarrow Y_{cons}$ continuous and that X_{cons} and Y_{cons} are compact, $f(T)$ is compact, so closed in Y_{cons} , so proconstructible. \square

Proposition 2.16 if $f : X \rightarrow Y$ is spectral and surjective, $S \subseteq Y$ is constructible (resp. proconstructible) iff $f^{-1}(S)$ is.

\Rightarrow is OK .

Conversly , by surjectivity of f , we have $S = f(f^{-1}(S))$ and $S^c = f(f^{-1}(S)^c)$. If $f^{-1}(S)$ is constructible i.e. closed open in X_{cons} , then $f^{-1}(S)^c$ is closed to , their images are then S , S^c , which are both closed in Y_{cons} , so S is closed-open in Y_{cons} , so constructible in Y . And if $f^{-1}(S)$ is proconstructible, $S = f(f^{-1}(S))$ is proconstructible too. \square

Proposition 2.17 (Dickmann, scwartz Tressl, *Spectral Spaces, theorem 3.3.1*) . Let X be a spectral space, and $T \subseteq X$. Then T is proconstructible iff T , with the induced topology, is spectral, and $i : T \hookrightarrow X$ is a spectral map. Moreover constructible (resp qc open, resp complementary of qc open) in T , are the traces of constructible (resp. qc open, resp complementary of qc open) in X .

From that we deduce some consequences of [5, 2.1] when T is a proconstructible of X

(i) T is quasi-compact for X and X_{cons} . In particular an open subset is constructible iff it is qc, and a closed subset is constructible iff its complementary is quasi compact (as a closed subset of a quasi-compact set, it is anyway quasi-compact). Indeed $T = i^{-1}(X)$ with X qc and i spectral so T is qc in X . And T is closed in X_{cons} so compact in X_{cons} .

(ii) T is constructible iff T^c is proconstructible. Indeed \Rightarrow is clear, and if T^c is proconstructible, T is closed-open in X_{cons} so constructible 2.2.

(iii) $\overline{T} = \cup_{t \in T} \overline{\{t\}}$.

Let $x \in \overline{T}$ and $\mathcal{U} = \{U \mid U \text{ is a quasi-compact open neighborhood of } x\}$. Hence $x \in \cap_{U \in \mathcal{U}} U$. Since $x \in \overline{T}$, $\forall U \in \mathcal{U}$, $U \cap T \neq \emptyset$. More generally, if $U_1 \dots U_n \in \mathcal{U}$, $U_1 \cap \dots \cap U_n \cap T = (u_1 \cap Y) \cap \dots \cap (u_n \cap T) \neq \emptyset$. So the $\{U \cap T\}_{U \in \mathcal{U}}$ have the FIP, and are proconstructible in X since T is and the U are, so their intersection is not empty (since X_{cons} is compact). Let then $t \in \cap_{U \in \mathcal{U}} (U \cap T)$, and $V = \overline{\{t\}}^c$ which is then open. If $x \in V$, there exists $U \in \mathcal{U}$ such that $x \in U \subseteq V$. But by hypothesis $t \in U \subseteq V$ which is absurd. So $x \in \overline{\{t\}}$.

2.5 Valuations

Proposition 2.18 ([2], Prop 9 §3) *A valuation ring A is noetherian iff a discrete valuation ring (i.e. $\Gamma_v = \mathbb{Z}$) iff principal (because a finitely generated ideal of a valuation ring is principal)*

For instance take $v : k(x_1, x_2) = A \rightarrow \mathbb{Z}_{lex}^2$

$\sum a_\nu x^\nu \mapsto -\min(\nu \mid a_\nu \neq 0)$. Then R the associated valuation ring is not noetherian. Indeed, its ideal correspond to the interval of $\mathbb{Z}^2 + \dots$. Among them $\cup_{n \geq 0}]-\infty, (-1, n)]$ is not principal, i.e. of the form $] -\infty, a]$. This ideal is $R \cdot (\frac{x_1}{x_2^n})_{n \geq 0}$.

Remarque 12 *Let $v : A \rightarrow \Gamma_0$ a valuation, R its valuation ring of the residual field of v . What is the link between A and R being noetherian ?*

If $R \subset K$ is a valuation ring and $R \subseteq A \subseteq K$ an intermediate valuation ring, what link between A and R being noetherian ?

No link because R will be noetherian iff $\Gamma = \mathbb{Z}$. So for the first question, take $v : A = \mathbb{C}_p[T] \rightarrow (\mathbb{Q}, +, \leq)$, $\sum a_i T^i \mapsto \max(|a_i|)$ A is noetherian but $\Gamma \neq \mathbb{Z}$, i.e. A noetherian but not R .

On the contrary, $v : A = k[x_i]_{i \in \mathbb{N}} \rightarrow \mathbb{Z}$, $\sum A_i x_i^i \mapsto -(\min(i \mid A_i \neq 0))$ where the $A_i \in k[x_1, \dots]$.

Now if $R \subseteq A \subseteq K$ then if R is noetherian it is a discrete valuation ring, and the only possibilities for A are R and K .

But if R is not noetherian, there will exist a intermediate A noetherian (ie. discrete valuation ring) iff there exists a quotient $\Gamma/H \simeq \mathbb{Z}$ with H necessarily a (the) maximal proper convex subgroup. Sometimes it is the case, for instance $v : k[x, y] \rightarrow \mathbb{Z}^2$, $\sum a_{(n,m)} x^n y^m \mapsto -\min((n, m) \mid a_{(n,m)} \neq 0)$, sometimes not, for instance in the case of a non microbial valuation.

Proposition 2.19 *Let $v : A \rightarrow \Gamma_0$ a valuation with $\Gamma = \Gamma_v$, and $\alpha : \Gamma \rightarrow G$ such that $v \sim \alpha \circ v$. Then v is injective.*

D : Otherwise let $h \in \text{Ker}(\alpha) \setminus \{1\}$. Then there exists $x \in K$ the residual field of v with $v(x) = h \neq 1$, but the same calculus in K_w leads $v(x) = 1$. \square

Remarque 13 *A valuation on $A : v : A \rightarrow \Gamma_0$ is equivalent to give $\mathfrak{p} = v^{-1}(0)$ a prime ideal of A and a valuation v on $qf(A/\mathfrak{p})$ which is also equivalent to give an equivalent class of morphism $A \xrightarrow{\phi} k$ with k a valued field, where $(\phi, k) \sim (k', \phi')$ if there exists an morphism of valued field $\iota : k \rightarrow k'$ such that $\phi' = \iota \circ \phi$.*

2.6 Embedding in $\mathcal{P}(A \times A)$

Proposition 2.20 (2.2) *Let A be a ring, $|$ a binary relation on A such that*

1. $a|b$ or $b|a \forall a, b$.
2. If $a|b$ and $b|c$ then $a|c$.
3. $a|b$ and $a|c$ implies $a|b+c$.
4. $a|b$ implies that $ac|bc$
5. $ac|bc$ and if not $0 \nmid c$ then $a|b$.
6. $0 \nmid 1$.

Then there exists a unique equivalence class of valuation v s.t. $| = |_v$ where $a|_v b$ iff $v(a) \geq v(b)$.

D : Let \sim be the binary relation defined by $a \sim b$ iff $a|b$ and $b|a$.

This is an equivalence relation. Indeed reflexivity is a consequence of (i), transitivity from (ii), and symmetry is obvious.

Let's not $\mathfrak{p} = \{a \in A \mid a \sim 0\} = \{a \mid 0|a\}$. indeed, if $a \sim 0$ then $0|a$, and conversely, if $0|a$, since anyway $1|0$ (because of (i) and (vi)) with (v) taking $c = a$ we get $a|0$, hence $a \sim 0$.

\mathfrak{p} is a prime ideal: first, if $a, b \in \mathfrak{p}$, $0|a$ and $0|b$ so with (iii), $0|a+b$, hence \mathfrak{p} stable by $+$. and with (iv) taking $c = -1$ we have $0|-a$ so \mathfrak{p} is a subgroup. In fact (iii) gives that \mathfrak{p} is an ideal. Now if $a \notin \mathfrak{p}$ and $ab \in \mathfrak{p}$, then $0 \nmid a$ (cf previous remark), $0|ab$, i.e. $0.a|b.a$ and then with (v), $0|b$, i.e. $b \in \mathfrak{p}$. So \mathfrak{p} is prime.

Put $B = A/\mathfrak{p}$. Then $|$ factorises through B . Indeed let $a, b \in A$ and $c \in \mathfrak{p}$. If $a|b$, then since anyway $a|0$ and $0|c$ by hypothesis, $a|c$ so (iii) $a|b+c$ hence if $a = a' \bmod \mathfrak{p}$ we have $a|b$ iff $a'|b$. In particular $a \sim a'$ and we conclude using the transitivity of $|$ that $|$ factorises through A/\sim , and hence also through B , and that this relation satisfies also (i) – (vi). Actually (v) becomes even, $ac|bc$ and $c \neq 0$ implies $a|c$.

Let $K = \text{qf}(B)$. Let $x \in K$, with $x = \frac{u}{v} = \frac{u'}{v'}$. Then $v|u$ iff $u'|v'$.

Indeed if $u = 0$ then $u' = 0$ and the two assertions are true.

Otherwise if $v'|u'$ then $v'u|uu'$ but $uv' = u'v$ so $vu'|uu'$ and since $u' \neq 0$, $v|u$.

It then makes sense to define $R = \{x \in K, x = \frac{u}{v} \mid v|u\}$.

This is a valuation ring:

$1 \in R$.

If $\frac{u}{v}$ and $\frac{u'}{v'} \in R$ then $v|u$ hence $vv'|uv'$, $v'|u'$ so $vv'|uu'$ hence by transitivity $vv'|uu'$.

Also $vv'|uv'$ and $vv'|u'v$ so (iii) $vv'|uv' + u'v$, hence $\frac{uv'+u'v}{vv'} = \frac{u}{v} + \frac{u'}{v'} \in R$.

Finally, if $x = \frac{u}{v} \in K$, then by (i), $u|v$ or $v|u$ so x or $x^{-1} \in R$.

R is then a valuation ring say with $K \xrightarrow{w} \Gamma$ defining its valuation, and if f is the natural morphism $f: A \rightarrow B \rightarrow K$, then $v = w \circ f$ is a valuation, and by definition of R , if $b \notin \mathfrak{p}$, $a|b$ iff $\frac{a}{b} \bar{0}$

Remarque 14 *We could consider $\Gamma_0 = (A/\sim, \times)$, check it is an ordered monoid with $a \geq b$ iff $a|b$. Then $\Gamma = \Gamma_0 \setminus \{0\}$ would be an ordered submonoid. Then $v: A \rightarrow (\Gamma_0, \leq)$ is “a valuation in an ordered monoid”. So if we could find $(\Gamma, \leq) \hookrightarrow (G, \leq')$ an injection of ordered monoid with G a group, we could affirm that v comes from a “real” valuation (with value in a group).*

This could lead to consider the forgetful functor:

for $: Ab \rightarrow \{\text{commutative monoids}\}$, check that it has a right adjoint i defined by $i(M) = (M \cup M^{-1})^ / < (a).(b) = (ab), aa^{-1} = 1, ab = ba, (ab)^{-1} = (a^{-1}).(b^{-1}) >$. Then wonder if*

**the natural morphism $M \rightarrow i(M)$ is injective?*

**Can we extend the ordering of M to $i(M)$?*

It won't be automatic: indeed if $\Gamma = \{-n, -(n-1), \dots, -1, 0\}$ monoid for $a.b = \max(-n, a+b)$.

Then

$v: k[X] \rightarrow \Gamma_0$

$P \neq 0 \mapsto -\min(n+1, \text{val}_X(P))$

$0 \mapsto -n-1$

and identifying $-(n+1)$ with a null element is a “monoidal” valuation. But (Γ, \leq) doesn't in an ordered group (it has torsion, and ordered groups don't), v doesn't comes from a valuation: $|_v$ verifies (i) – (iv) and (vi) but not (v):

$0.X|_v X^n.X = X^{n+1}$, $0 \nmid X$, but however $0 \nmid X^n$.

We then consider $\phi : S(A) \rightarrow \mathcal{P}(A \times A)$ defined by $\phi(v) = |_v$ with $a|_v b$ if $v(a) \geq v(b)$. Then the 6 conditions in the previous proposition show that $im(\phi)$ is a closed set of $\mathcal{P}(A \times A)$, that we endow with the product topology.

Moreover ϕ is injective : indeed if $|_v = |_w$ then we easily see that $supp(v) = supp(w) = \{a \in A \text{ such that } 0|a\}$ hence, K the residual field of v and w are the same, and the valuation ring on them induced by v and w are the same (because $|_v = |_w$) so they induce the same valuation on K . Hence through ϕ we identify $S(A)$ with a closed subset of $\mathcal{P}(A \times A)$. It then induces a topology $(S(A), T_1)$. $\mathcal{P}(A \times A)$ being compact, and $S(A)$ closed, $(S(A), T_1)$ is compact. In it the subsets of the form $\{v \mid v(a) \leq v(b) \neq 0\} = \{v \mid v(a) \leq v(b)\} \cap \{v \mid v(b) \leq v(0)\}^c$ are open-closed by definition of the product topology on $\mathcal{P}(A \times A)$. The topology \mathcal{T} they generate is T_0 : if $v \neq w, \in S(A)$ then there exists $a, b \in A$ such that $v(a) \leq v(b)$ and $w(a) > w(b)$. If $v(b) \neq 0$ then $v \in \{x \mid x(a) \leq x(b) \neq 0\}$ and not w . Otherwise $v(a) = v(b) = 0$ so $w \in \{x \mid x(b) \leq x(a) \neq 0\}$ and not v .

Lemme 2.1 *Endow $\mathcal{P}(X) = \{0, 1\}^X$ with the product topology. Then the closed open subsets are the finite boolean combination of subsets $P_x = \{U \subset X \mid x \in U\}$.*

D : Let V be a closed open subset of $\mathcal{P}(X)$. Since $\mathcal{P}(X)$ is compact (Tychinov) V is compact . Now by definition of the product topology , the P_x and their complementary form a sub-basis of $\mathcal{P}(X)$ so we can conclude. \square

In $S(A)$ the sets $P_{(a,b)}$ correspond precisely to $\{v \mid v(a) \geq v(b)\}$. Hence using 2.11 we have :

Proposition 2.21 *$S(A)$ be endowed with the topology whose subbasis is the $\{v \mid v(a) \leq v(b) \neq 0\}$. $S(A)$ is spectral and its constructible subspaces are the boolean combination of $\{v \mid v(a) \leq v(b)\}$.*

2.7 specializations

Proposition 2.22 (cf [5] 2.2) *Let $v : A \rightarrow \Gamma_0$ and H a convex subgroup, $w = v/H : A \rightarrow (\Gamma/H)_0$ is called e secondary specialization. $v \in \{\bar{w}\}$ in $Spv(A)$.*

D : let $U = \{x \mid x(f) \leq x(g) \neq 0\}$ be a basic neighborhood of v , i.e $v(f) \leq v(g) \neq 0$. Then $w(f) \leq w(g)$ and $v(g) \neq 0$, i.e. $v(g) \in \Gamma$, so $w(g) \in \Gamma/H$ and is $\neq 0$, so $w \in U$. \square

exemple : $v : A = k[x, y] \xrightarrow{v} \mathbb{Z}_{lex}^2$
 $\sum a_{n,m} x^n y^m \mapsto -\min\{(n, m) \mid a_{n,m} \neq 0\}$
 There are 3 convex subgroups : $\{1\} = \Gamma_0$
 $(0, \mathbb{Z}) = \Gamma_1$
 $\mathbb{Z} = \Gamma_2$

$c\Gamma_v = \{1\}$, and then :

$v/\Gamma_0 = v$, $v/\gamma_1 = v_x$ (valuation of x).

$v/\Gamma_2 = v_{discret}$.

$v|\Gamma_0 : A \rightarrow \{1, 0\}$ with $v|\Gamma_0(f) = 1$ iff $v(f) = 1$, i.e. if $f(0, 0) \neq 0$, i.e. it factorises through $A \rightarrow k, f \mapsto f(0, 0)$, and then with the discrete valuation on k .

$v|\Gamma_1 : A \rightarrow \mathbb{Z}_0$,

$f \mapsto v(f)$ if $v(f) \in (0, \mathbb{Z})$, 0 otherwise. factorises through $A \rightarrow k[y], f \mapsto f(0, y)$ and then the y -adic valuation.

$v|\Gamma_2 = v$.

exemple of the unit ball Let $A = k\{T\}$, $r = |\lambda| < 1$, $\lambda \in k$ with k a non-archimedian field. Define :

$\eta_r : \sum a_i T^i \mapsto \max(|a_i| r^i) \subseteq \mathbb{R}$

$\eta_{>r} : \sum a_i T^i \mapsto \max(|a_i| r^i, -i) \subseteq \mathbb{R} \times \mathbb{Z}$

$\eta_{>r} : \sum a_i T^i \mapsto \max(|a_i| r^i, i) \subseteq \mathbb{R} \times \mathbb{Z}$

From what we have seen above since $\eta_{<r}$ and $\eta_{>r}$ are secondary specializations of η_r (with $H = 0 \times \mathbb{Z}$), they both belong to $\{\bar{\eta}_r\}$. The contrary is false (this is a consequence of $Spv(A)$ being T_0) , concretely, if $U = \{x \mid x(T) \leq x(\lambda) \neq 0\}$ then η_r and $\eta_{<r} \in U$, but $\eta_{>r}$ doesnt. In the same way : $V = \{x \mid x(\lambda) \leq x(T) \neq 0\}$ then η_r and $\eta_{>r} \in V$, but $\eta_{<r}$ doesnt. So the specialization described above is the only one existing between these three points.

This shows the difference between the topology of Berkovich and Huber. Indeed if $U = \{v \mid v(X) <$

$v(\lambda)\}$ then $\eta_{<r} \in U$ and if U was open in the Huber topology, it should contain η_r , but this is not the case.

2.8 $c\Gamma_v(I)$

$I = (t_1, \dots, t_n)$ an ideal.

Lemme 2.2 (2.4) *If $v(I) \cap c\Gamma = \emptyset$ there exists a greatest convex subgroup H such that $v(i)$ is cofinal in H , $\forall i \in I$. Furthermore $v(I) \neq \{0\}$ and $v(I) \cap H \neq \emptyset$.*

D : The existence of H is a consequence of 1. But in this particular case, we have $v(i) < 1 \forall i \in I$. Otherwise $v(i) \geq 1$ and then $i \in c\Gamma$ by definition of it. Let $h = \max(v(t_j))_{j=1..n} = v(t_1)$ say. If $h = 0$ then $v(I) = 0$ and $H = \Gamma_v$. Otherwise, $i \in I$, $i = \sum a_k t_k$ and $v(i) \leq (\max(v(a_k))) \cdot h$ say $v(i) \leq v(a)h$. So $v(i^2) \leq v(a^2)h^2 = v(a^2 t_1)v(t_1) < v(t_0)$ since $a^2 t_0 \in I$ so $v(a^2 t_0) < 1$. So $v(i)$ is cofinal in $\langle h \rangle_{conv} = \langle v(t_1) \rangle_{conv}$. Conversely, if $\forall i \in I$, i is cofinal in H then $v(t_1)$ is cofinal in H and $H \subseteq \langle h \rangle_{conv}$. So the greatest convex subgroup in which $v(I)$ is cofinal is $H = \langle v(t_1) \rangle_{conv}$ which then contains $v(t_1) \in v(I)$. \square $c\Gamma_v(I)$ is then the union of $c\Gamma_v$ and this subgroup H if $v(I) \cap c\Gamma_v = \emptyset$.

Lemme 2.3 (2.5) *If $\Gamma_v \neq c\Gamma_v$ (otherwise $c\Gamma_v(I) = \Gamma_v$). Then the following are equivalent*

1. $c\Gamma_v(I) = \Gamma_v$
2. $v(i)$ is cofinal in Γ_v for all $i \in I$
3. $v(i)$ is cofinal in Γ_v for a set of generators of I

D : 1 \Rightarrow 2 : since $c\Gamma_v \neq \Gamma_v$, we can't have $v(I) \cap c\Gamma_v \neq \emptyset$, and by definition $v(I)$ is cofinal in Γ_v .

2 \Rightarrow 1 : then $v(I) \cap c\Gamma_v = \emptyset$. Otherwise if $v(i) \in c\Gamma_v$, $g < c\Gamma_v$, then there exists a n such that $v(i)^n < g < c\Gamma_v$ which is absurd. Hence $v(I) \cap c\Gamma_v = \emptyset$ and $c\Gamma_v(I) = \Gamma_v$.

2 \Rightarrow 3 is clear.

3 \Rightarrow 2 : The set $J = \{a \in A \mid v(a) \text{ is cofinal in } \Gamma_v\}$ is an ideal. Indeed first $v(J) < 1$, and if $g \in \Gamma_v$, $a, b \in J$, $\exists n$ such that $v(a^n) < g$ and $v(b^n) < g$ then $v((a+b)^{2n}) < g$. If $x \in A$, then if $v(x) \leq 1$, $v(ax) \leq v(a)$ and $ax \in J$. Otherwise, $v(x) \geq 1$, then $v(x) \in c\Gamma_v$, $v(ax) \leq v(x)$. Now if $1 \leq v(ax)$ we have $v(ax) \in c\Gamma_v$ and $v(a)$ too, which is impossible since $c\Gamma_v \neq \Gamma_v$ and $v(x)$ is cofinal. Hence $v(ax) < 1$ for all $x \in A$. Let then $g \in \Gamma_v$. There exists n such that $v(a)^n < g$ then $v(ax)^{n+1} = v(a^n)v(ax^{n+1}) < v(a)^n < g$. So J is an ideal, and 3 \Rightarrow 2. \square

Remarque 15 *If $I = A$ then $v(I) \cap c\Gamma_v \neq \emptyset$ so $c\Gamma_v(I) = c\Gamma_v$, and then $Spv(A, A) = \{v \mid c\Gamma_v = \Gamma_v\}$.*

3 Continuous valuation of f-adic rings

Remarque 16 *Let A be a Tate ring, and $v : A \rightarrow \Gamma = \Gamma_v$ be a continuous valuation. Then $c\Gamma = \Gamma$. Indeed take x a nilpotent unit. Then x is cofinal in Γ , and $\langle x \rangle_{conv} = \Gamma$. It is even true that the subgroup generated by $\{v(a) \geq 1\}$ is Γ . So in that case there are only secondary specializations.*

Theorem 1 (3.1) $Cont(A) = \{v \in Spv(A, A.A^{\circ\circ}) \mid v(A^{\circ\circ}) < 1\}$.

D : If v is continuous. Then clearly $v(A^{\circ\circ}) < 1$. Then, if $c\Gamma_v = \Gamma_v$ OK. Otherwise let $A \in A^{\circ\circ}$, $v(a^n) \rightarrow 0$ so $v(a)$ is cofinal in Γ_v and according to 2.8, $v \in Spv(A, A.A^{\circ\circ})$, i.e. $c\Gamma_v(A.A^{\circ\circ}) = \Gamma_v$.

Conversely let $v \in Spv(A, A.A^{\circ\circ})$ such that $v(A^{\circ\circ}) < 1$. First let's show that $\forall a \in A^{\circ\circ}$, $v(a)$ is cofinal in Γ_v . If $\Gamma_v \neq c\Gamma_v$ then this is true by definition of $Spv(A, A.A^{\circ\circ})$ and $c\Gamma_v(A.A^{\circ\circ})$. Otherwise $\Gamma_v = c\Gamma_v$, hence if $g \in \Gamma_v \exists t \in A$ such that $v(t) \geq v(g)^{-1}$ i.e. $v(g) \geq v(t)^{-1}$. Hence if $A \in A^{\circ\circ}$, $\exists n$ such that $v(a^n t) < 1 \Rightarrow v(a^n) < g$.

So let $A_0, I = (b_1 \dots b_n)$ be an adic ring of definition for A . Since the $b_i \in A^{\circ\circ}$, the $v(b_i)$ are cofinal from what we've just seen, in particular $v(b_i) < 1$, and we easily see that for $\nu = (k_1, \dots, k_n)$ with $|\nu| \geq N$ for a big enough N , $v(b^\nu) < g$. Hence since $v(I) < 1$ we have $v(I^{N+1}) < g$ which shows v is continuous. \square

Theorem 2 *If A is a ring which is Tate, $A.A^{\circ\circ} = A$ and hence $Cont(A) = \{v \in Spv(A) \mid c\Gamma_v = \Gamma_v \text{ and } v(A^{\circ\circ}) < 1\}$*

3.1 counter-example continuous valuations

1. $v(A^\circ) \leq 1$, $v(A^{\circ\circ}) < 1$: inspired by [2] §10 lemma 1 which says that if $v : k \rightarrow \Gamma_0$ is a valuation of the field k and $g \in \Gamma$ $w : k[X] \rightarrow \Gamma$, $\sum a_i X^i \mapsto \max(v(a_i)g^i)$ is a valuation.
Let $v : A = k\{X\} \rightarrow \mathbb{Z} \times \mathbb{R}$, $\sum a_n X^n \mapsto \max(-n, |a_n|)$. This is a valuation, $v(A^{\circ\circ}) < 1$ and $v(A^\circ) \leq 1$ but it is not continuous : $c\Gamma_v = 0 \times \mathbb{Z}$. Or if $\pi \in k^\circ$ $v(\pi)^n$ isn't arbitrary small although $\pi^n \rightarrow 0$. Here $v \in L(A) \setminus \text{Cont}(A)$.
2. *A Tate ring and a v such that $v(A^{\circ\circ}) < 1$, $v(A^\circ) \leq 1$ but not continuous.* Take $A = \mathbb{Z}_p[X, X^{-1}] \supseteq A_0 = \mathbb{Z}_p[X] \supseteq I = A_0 \cdot X$. The X -adic topology on A_0 extended to A makes it a f -adic ring. Mainly because if $P \in A$, $f_n \rightarrow 0$ then $Pf_n \rightarrow 0$ and if $g_n \rightarrow 0$, $f_n g_n \rightarrow 0$. Then $A^\circ = I$; $A^\circ = A_0$. Let $v : A \rightarrow \mathbb{Z}^2$, $v(\sum a_i X^i) = \max(|a_i|, -i)$. Then $v(I) < 1$, $v(A^\circ) \leq 1$ but $X^n \rightarrow 0$ however $v(X^n)$ doesn't converges to 0 , i.e. $v(X)$ isnot cofinal in Γ_v . (Also because $c\Gamma_v = 1 \times \mathbb{Z} \neq \mathbb{Z}^2$.)
3. *A a f-adic ring , $v : A \rightarrow \Gamma_0$ such that $v(A^{\circ\circ}) < 1$ but v not continuous.*
Take $A = \mathbb{Z}_p[X]$ equipped with the (X, p) -adic topology, and $v : A \rightarrow \mathbb{Z}^2$, $\sum a_i X^i \mapsto \max(|a_i|_p, -i)$. Then on easily checks that $A^\circ = (p, X)$ and that $v(A^{\circ\circ}) < 1$. But $X^n \rightarrow 0$ however $v(X^n) = (1, -n)$ doesn't converge to 0.

Proposition 3.1 (cf [5] 3) *The integral closure of the subring $\mathbb{Z} + A^{\circ\circ} = \{n + a \mid n \in \mathbb{Z}, a \in A^{\circ\circ}\}$, B is the smallest ring of integral elements of A .*

D : First note that A° is open. Indeed if (A', I) , is an adic ring of definition of A , $I \subset A^\circ$ is open. So $\mathbb{Z} + A^\circ$ is a subring of A° (note that $\mathbb{Z} + A^\circ$ is well a subring, because A° is stable by $+$ and \times).

Now let's show that A° is integrally closed in A .

First let's prove :

Lemme 3.1 *If B is a bounded subring in A f-adic, and $A \in A^\circ$ then $B[a]$ is bounded.*

D : let (A_0, I) be a ring of definition. I^m a neighborhood. $\exists m$ such that $\{a^k\}I^m \subseteq I^n$, and p such that $BI^p \subseteq I^m$. Then $ba^n I^p \subseteq a^n I^m \subseteq I^n$. \square . Hence since \mathbb{Z} is also bounded, we see that if $a_0, \dots, a_n \in A^\circ$, $\mathbb{Z}[a_0, \dots, a_n]$ is also bounded.

So let $x \in A$ be integral on A° , i.e. $x^n = a_0 + a_1 x + \dots + a_{n-1} x^{n-1}$. Call $B = \mathbb{Z}[a_0 \dots a_{n-1}]$. By induction we got that $x^p \in B + B \cdot x + \dots + B \cdot x^{n-1} \forall p$. So if I^m is a 0 neighborhood, we can find k such that $B \cdot x^l I^k \subseteq I^m$ for all $l = 0..n-1$. Then $x^p I^k \subseteq I^m$, $\forall p$. Hence $x \in A^\circ$. So A° is integrally closed in A , so $(\mathbb{Z} + A^{\circ\circ})^{closure} A \subseteq A^\circ$.

Conversly, if $B \subseteq A^\circ$ is open and integrally closed in A , let $x \in A^{\circ\circ}$, so that $x^n \rightarrow 0$, hence there exists a n such that $x^n \in B$ since it is open, hence $x \in B$ since it is integrally closed. \square

[3.6] supposes that $\{0\}$ is an ideal : let $x \in \bar{0}$, $a \in A$ V a 0-neighborhod. We can assume $V = -V$, then $0 \in ax + V \Leftrightarrow ax \in V$, but $A \rightarrow A$, $u \mapsto au$ is continuous so \exists a neighborhood of 0 , W such that $aW \subseteq V$, and $x \in W$ because $x - W$ is a neighborhood of x so $0 \in x - W$, i.e. $x \in W$.
 \square

3.2 Affinoid rings

Définition 3.1 *A subring of A is called a ring of integral elements if it is open , integrally closed, and contained in A° .*

An affinoid ring is a pair (A, A^+) with A a f-adic ring and A^+ a subring of integral elements.

By a ring homomorphism of affinoid ring it is meant f such that $f(A^+) \subseteq B^+$.

Lemme 3.2 *Let J be an ideal of A . J is open $\Leftrightarrow A^{\circ\circ} \subseteq \sqrt{J}$*

D : \Rightarrow Let $a \in A^{\circ\circ}$, so that $\exists n$ such that $a^n \in J$.

\Leftarrow Let (A_0, I) be a ring of definition, $I = (i_1, \dots, i_n)$. Since $I \subseteq A^{\circ\circ} \subseteq \sqrt{J}$, for each $j = 1..n$ there exists k_j such that $i_j^{k_j} \in J$. Then $k := \sum k_j$ and $I^k \subseteq J$ which is then open. \square

This explains that if $T.A$ is open, $A^{\circ\circ} \subseteq \sqrt{J}$ so $U = \{v \in \text{Spv}(A, A.A^{\circ\circ}) \mid v(t_i) \leq v(t) \neq 0\}$ a rational subset.

Remarque 17 On rational domains. Let's restrict to the case A a ring Tate. Then for [5] a rational subset of $\text{Spa}(A)$ is $\mathcal{R}(\frac{T}{s}) = R = \{v \mid v(t_i) \leq v(s) \neq 0\}$ with $(t_i) = A$. Hence if $v \in \{v \mid v(t_i) \leq v(s)\}R$ since $\sum a_i t_i = 1$ if $v(s) = 0$ we have $v(t_i) = 0$ so $v(1) = 0$ which is impossible, so $R = \{v \mid v(t_i) \leq v(s)\}$.

Now let's consider $S = \{v \mid v(t_i) \leq v(s), i = 1..n\}$ where $(t_i, s) = A$. If we had $t_{n+1} = s$ we still have $S = \{v \mid v(t_i) \leq v(s), i = 1..n+1\}$ which is rational in Huber's sense. So the two possible definition of $\mathcal{R}(\frac{T}{s})$, with $(T) = A$ or $(T, s) = A$ give the same class of subsets.

3.3 analytic points

There exists a finite set $T \subseteq A^\circ$ such that $T.A$ is open. Indeed let (B, I) a ring of definition, with $I = (b_1, \dots, b_n)$. Then $T = \{b_1, \dots, b_n\}$ works.

Proposition 3.2 $(\text{Spa}(A))_a = \{x \mid \text{supp}(x) = v^{-1}(0) \text{ is not open}\}$
 $= \{x \mid x(t) \neq 0 \text{ for one } t \in T\}$
 $= \cup_{t \in T} R(\frac{T}{t})$

D : if $x \in (\text{Spa}(A))_a$ then $\text{supp}(x)$ is an ideal, not open, so $T \not\subseteq \text{supp}(x)$ so $\exists \mid x(t) \neq 0$.
 Conversely, if $x(t) \neq 0$, $t \in A^\circ$, $x(t^n) \neq 0$ but converges to 0 so $\text{supp}(x)$ is not open. \square

Proposition 3.3 If $v \in \text{Spa}(A)$ is analytic, then v is microbial.

D : We have $A \rightarrow A/\text{supp}(v) = B \rightarrow \text{qf}(B) = K$ and let R be the valuation ring of K associated to v .

Let also A_0, I be a ring of definition of A . First note that saying that v is analytic means that $\text{supp}(v)$ is not open (it is closed, but we don't care), so $I \not\subseteq \text{supp}(v)$ and in fact neither I^n for any n . Then the topology on R is I -adic (more precisely we should define $J = R.\{\bar{i} \mid i \in I\}$ and say R is J -adic. Indeed, first J is an ideal of R which is not $\{0\}$ (because $I \not\subseteq \text{supp}(v)$), so it is open. And if $g \in \Gamma_v$, we have a n such that $v(I^n) < g$. Then $v(J^n) < g$ which shows that the topology of R is the J -adic topology. Since $J^n \neq \{0\}$ for all n , the topology is not discrete. So according to the criterion 4, v is microbial. \square

3.4 constructible sets in the respective spaces

In $\text{Spv}(A)$, the constructible subsets are finite boolean combination of subsets of the form $\{v \mid v(a) \leq v(b)\}$ (prop 2.2 in [5]). This includes for instance the subsets $\{v \mid v(a) = v(b)\}$ and $\{v \mid v(a) = 0\}$.

For instance $U = \{v \in \text{Spv}(A) \mid v(a) \neq 0\}$ is quasi compact open (i.e. constructible and open, i.e. proconstructible and open), because indeed it is open, ($= \{v \mid v(a) \leq v(a) \neq 0\}$) and constructible.

In $\text{Spv}(A, I)$ the constructible subsets are the boolean combinations (finite) of rational domains : $U = R(\frac{T}{s}) = \{v \in \text{Spv}(A, I) \mid v(t) \leq v(s) \forall t \in T\}$ where T is finite and $I \subseteq \sqrt{T.A}$.

$\text{Cont}(A) = \{v \in \text{Spv}(A, A.A^\circ) \mid v(A^\circ) < 1\}$ is a proconstructible subset of $\text{Spv}(A, A^\circ.A)$.

Let's consider $\text{Cont}(A)$ Then a and b are not spectral in general.

$$\begin{array}{ccc} & \text{Cont}(A) & \\ & \downarrow c & \searrow b \\ \text{Spv}(A, A^\circ.A) & \xrightarrow{a} & \text{Spv}(A) \end{array}$$

Indeed otherwise $b^{-1}\{v(a) \leq v(b)\}$ would be constructible, but it is hard to imagine how it could be a boolean combination of rational subset, particularly when $b = 0$ in which case it is a Zariski closed subset. More precisely if A is an affinoid algebra, $f \neq 0$, $\pi \in k^\circ \setminus \{0\}$, then $b^{-1}(v(f) \neq 0) = \cup_{n \geq 0} \{v(f) \geq v(\pi^n)\}$ and you can't extract a finite cover from the right hand side, so it is not quasi compact. So b isn't spectral, and since $b = c \circ a$, and a is spectral, c isn't spectral.

according to [5, 2.5, 2.6] :

$r : \text{Spv}(A) \rightarrow \text{Spv}(A, I)$

$v \mapsto v|_c \Gamma_v(I)$ is spectral. Then take $A = k[t]$, $I = A$

It is false to say that $r^{-1}\{v \in \text{Spv}(A, A) \mid v(a) \leq v(b)\} = \{v \in \text{Spv}(A) \mid v(a) \leq v(b)\}$.

For instance $U = r^{-1}\{v \mid v(T) \geq 1\}$. Let v_T be the T -adic valuation. $c\Gamma_{v_T} = \{1\}$ so $c\Gamma_{v_T} = \{0\}$

and $v_T|_{\{0\}} = w = \text{the trivial valuation}$. Hence since $w(T) \geq 1$, $v_T \in U = r^{-1}\{v \mid v(T) \geq 1\}$ but $v_T \notin \{v \in \text{Spv}(A) \mid v(T) \geq 1\}$ so $r^{-1}\{v \mid v(T) \geq 1\} \neq \{v \in \text{Spv}(A) \mid v(T) \geq 1\}$.

This helps to understand the fact that :

$r : \text{Spv}(A) \rightarrow \text{Spv}(A, I)$ is spectral [5, 2.6(ii)], and surjective, so $T \subseteq \text{Spv}(A, I)$ is constructible iff $r^{-1}(T)$ is. So if it was true that $r^{-1}\{v \in \text{Spv}(A, I) \mid v(a) \leq v(b)\} = \{v \in \text{Spv}(A) \mid v(a) \leq v(b)\}$, the subsets $\{v \in \text{Spv}(A, I) \mid v(a) \leq v(b)\}$ would be constructible.

4 Tate rings of topologically finite type over fields

Proposition 4.1 *Let A be a k -affinoid algebra, and $v \in \text{Spa}(A, A^\circ)$. Then $v|_k$ is the initial valuation of k .*

Indeed, v is a valuation with $v(x) \geq 1$ when $x \in A^\circ \cap k = k^\circ$. Conversely if $x \notin A^\circ \cap k$, then $x^{-1} \in A^{\circ\circ}$ so $v(x^{-1}) < 1$ (cf 3.1 [5]), i.e. $v(x) > 1$. So $v(x) \leq v(y)$ iff $|x| \leq |y|$ so they are the same.

More conceptually $(k, k^\circ) \rightarrow (A, A^\circ)$ is a continuous morphism of affinoid ring, so induces $f : \text{Spa}(A, A^\circ) \rightarrow \text{Spa}(k, k^\circ)$. What we've shown is somehow the fact that $\text{Spa}(k, k^\circ) = \{|\cdot|\}$ where $|\cdot|$ is the valuation on k , because in general (cf [6] 1.1.6) if $A = (A^\times, A^+)$ is an affinoid fields, $\text{Spa}(A)$ is the set of valuation ring B , such that $A^+ \subseteq B \subseteq (A^\times)^\circ$. In our case it gives $k^\circ \subseteq B \subseteq k^\circ$, so the only possibility is k° . \square

If A is a Tate algebra, $L_A = \{v \in \text{Spv}(A) \mid v(A^\circ) \leq 1 \text{ and } v(A^{\circ\circ}) < 1\}$. We note (abuse of notation) $\text{Spa}(A) := \text{Spa}(A, A^\circ)$. Then

$$\begin{array}{ccc} & \subseteq \text{Cont}(A) \subseteq & \\ \text{Max}(A) \subseteq \text{Spa}(A) & & \text{Spv}(A) \\ & \subseteq L_A \subseteq & \end{array}$$

Proposition 4.2 ([8] the. 10.2) *Let K be a field, $A \subseteq K$ a subring \mathfrak{p} a prime ideal of A . Then there exists a valuation ring R of K such that $A \subseteq R$ and $\mathfrak{M}_R \cap A \subseteq \mathfrak{p}$.*

Corollaire 4.1 *Let k be a valued field and K an extension of field, then there exists a valuation on K that extends the one of k .*

D : Let A be the valuation ring of (k, v) , $\mathfrak{p} = \mathfrak{m}_A$. Then there exists R a valuation ring of K with $A \subseteq R$ and $\mathfrak{m}_R \cap A \subseteq \mathfrak{m}_A$. So let $C = k \cap R$. Then $C = A$ (for instance because C is a k -valuation ring that extends A , with the same maximal ideal, or because if $x \in C \setminus A$, $v(x) > 1$, $x^{-1} \in \mathfrak{m}_A$ but since $\mathfrak{m}_R \supseteq \mathfrak{m}_A$, $x^{-1} \in \mathfrak{m}_R$ which contradicts $x \in R$. \square

Proposition 4.3 *Let A be a ring, $I = (a_j)_{j \in J}$ an ideal. Then $\pi : A \rightarrow A/I$ induces $\text{Spv}(\pi) : \text{Spv}(A/I) \rightarrow \text{Spv}(A)$. Its image is $\{v \mid v(I) = 0\}$ and it is a homeomorphism on its image. In particular, if I is of finite type, this image is a constructible subset.*

Proposition 4.4 (cf [5] 4.1 or [7] Prop. 2.1.1) *Let $f : A \rightarrow B$ a morphism of finite presentation and $U \subseteq \text{Spv}(B)$ a constructible subset. Then $\text{Spv}(f)(U)$ is constructible.*

D : f decomposes as $A \xrightarrow{f_1} A[X_1, \dots, X_n] \xrightarrow{f_2} B = A[X_1, \dots, X_n]/I$ where $I = (a_1, \dots, a_n)$ is finitely generated. Let U be a boolean combination of $\{v(\bar{a}) \diamond v(\bar{b})\}$ with $a, b \in A[X_1, \dots, X_n]$, then $\text{Spv}(f_2)$ is the same boolean combination of $\{v(a) \diamond v(b)\} \cap \{v(a_i) = 0, i = 1 \dots n\}$.

So we can restrict to the case $B = A[X_1, \dots, X_n]$, U a boolean combination of $\{v(P) < v(Q)\}$. Since $P \in A[X_1, \dots, X_n]$, \exists an interger m , $p_1, \dots, p_m \in A$ and $p \in \mathbb{Z}[Y_1, \dots, Y_m, X_1, \dots, X_n]$ such that $P = p(p_1, \dots, p_m, X_1, X_n)$, and also $Q = q(q_1, \dots, q_M, X_1, \dots, X_n)$.

An element $w \in \text{Spv}(A[X_i])$ represented by $B \xrightarrow{\psi} k$ is in U iff the combination of formula $p(p_i, t_j) < q(q_k, t_j)$ is true where $t_j = \psi(X_j)$.

Hence $A \xrightarrow{\phi} k$ corresponds to a valuation v of A , it is in $\text{Spv}(f)(U)$ iff there exists a diagram

$$\begin{array}{ccc} B & \xrightarrow{\psi} & L \\ f \uparrow & & \uparrow \iota \\ A & \xrightarrow{\phi} & k \end{array}$$

But when ϕ and ι are fixed, a ψ giving rise to a commutative diagram as this one is equivalent to the data of $l_1, \dots, l_n \in L$.

Hence $v \in \text{Spv}(f)(U)$ iff

\exists an extension L of k and $l_1, \dots, l_n \in L$ such that the formula $P(l_1, \dots, l_n) < Q(l_1, \dots, l_n)$ is true iff $\exists \iota : k \rightarrow L$ an extension with L algebraically closed valued field (using 4.1), and such that the following formula holds

$$\exists l_1 \dots l_n \text{ boolean combination } (|p(p_i, l_j)| < |q(q_k, l_j)|)$$

For such a L , if it is trivially valued, we can embed it in $L(X)$ with the X -adic valuation so that it isn't trivially valued anymore so that

$\Leftrightarrow \exists \iota : k \rightarrow L$ an extension with L algebraically closed non-trivially valued field (using 4.1), and such that the following formula holds

$$\exists l_1 \dots l_n \text{ boolean combination } (|p(p_i, l_j)| < |q(q_k, l_j)|)$$

But using elimination of quantifiers for the non-trivially valued fields (warning you can't eliminate $\exists x \neq y \neq 0 |x| \neq |y|$, which precisely defines the non trivially valued fields), this formula is equivalent to a universal (meaning independant of L) formula $\varphi(p_i, q_k)$, which defines a constructible subset of $\text{Spv}(A)$. \square

Theorem 3 (4.1) L_A is the closure of $\text{Max}(A)$ in the constructible topology of $\text{Spv}(A)$

D : First $L(A)$ is well closed in this topology (cf Prop 2.2) wich says that a basis for the constructible topology is the sets $\{v \mid v(a) \diamond v(b)\}$, $\diamond \in \{<, \leq\}$. \square

Proposition 4.5 Let $f : X \rightarrow Y$ a continous map beetwen topological spaces, $A \subseteq Y$.

1. $f^{-1}(A) \subseteq f^{-1}(\bar{A})$
2. if f is open $f^{-1}(A) = f^{-1}(\bar{A})$

D : 1 $f^{-1}(\bar{A})$ is closed and contains $f^{-1}(A)$.

2 Let $x \in f^{-1}(\bar{A})$ and U a neighborhohd of x . We have to show that $U \cap f^{-1}(A) \neq \emptyset$. But $f(U)$ is open, so neighborhohd of $y = f(x) \in \bar{A}$, so $f(U) \cap A \neq \emptyset$. So if $z \in f(U) \cap A$, $z = f(u)$, $u \in f^{-1}(A) \cap f^{-1}(f(u)) \subseteq f^{-1}(A) \cap U$, $\Rightarrow f^{-1}(A) \cap U \neq \emptyset$. \square

4.1 Prime filters

$\tilde{\text{Max}}(A)$ denotes the set of prime filters of $\text{Max}(A)$, (precisely the prime filters of the lattice of finite union of ratiounal subsets (cf Dickmann)).

Cor 4.5 : Let \mathcal{F} be a prime filter, define $\mathcal{F}' = \{\text{Max}(A) \setminus R \mid R \notin \mathcal{F}\}$ and define $\mathcal{W} = \mathcal{F} \cup \mathcal{F}'$.

$$\| \text{Let } W_1, \dots, W_n \in \mathcal{W}, \text{ then } \cap_{i=1..n} W_i \neq \emptyset$$

D : in this intersection there is in fact one rational domain R (because they are stable par \cap), and some R_i^c with $R_i \notin \mathcal{F}$. Then if we had $R \subseteq \cup_i R_i$, $R = \cup(R_i \cap R)$ is an element of \mathcal{F} so one of the $R \cap R_i$ must also be in, so R_i also which is absurd. So $R \not\subseteq \cup_i R_i$, i.e. $R \cap_i R_i^c \neq \emptyset$. \square

$$\| D := \cap_{W \in \mathcal{W}} \tilde{W} \neq \emptyset. \text{ Let } x \in D, \text{ then } s(x) = \mathcal{F}$$

D : $s(x) \supseteq \mathcal{F}$: if $F \in \mathcal{F}$, $x \in D$ so $x \in \tilde{F}$.

Conversly let $\tilde{U} \cap \text{Max}(A) = U \in s(x)$, i.e. $x \in \tilde{U}$. If we had $U \notin \mathcal{F}$, then $V = \text{Max}(A) \setminus U \in \mathcal{W}$, and then $x \in \tilde{V}$, so $x \notin \tilde{U}$, absurd. \square

4.7.2 : $\mathcal{F} \in \text{Max}(A)$, then :

- 1 $\{a \in A \mid \forall F \in \mathcal{F} \exists x \in F \mid a(x) = 0\}$
 - 2 = $\{a \mid \forall e \in k^* \exists F \in \mathcal{F} \mid |a|_F \leq |e|\}$
 - 3 = $\{a \mid \forall r > 0 \exists F \in \mathcal{F} \mid |a|_F \leq r\} = \mathfrak{p}_{\mathcal{F}}$
- 2 = 3 is clear.

$1 \subseteq 3$: if $a \in 1$. Let $F_1 = \{x \in \text{Max}(A) \mid |a(x)| \leq r\}$ and $F_2 = \{x \in \text{Max}(A) \mid |a(x)| \geq r\}$. $F_1 \cup F_2 = \text{Max}(A)$ so one of it is in \mathcal{F} . $F_2 \notin \mathcal{F}$ because $a \in 1$, and $\forall x \in F_2$ $a(x) \neq 0$. So $F_1 \in \mathcal{F}$, and $a \in 3$.

If $a \in 3$. Let $F \in \mathcal{F}$ such that $a(x) \neq 0 \forall x \in F$. Then $\exists r > 0$ such that $|a(x)| \geq 2r \forall x \in F$. But since $a \in 3 \exists G \in \mathcal{F}$ such that $|a|_G \leq r$. Then $F \cap G \in \mathcal{F}$, but is empty. Contradiction, so $\exists x \in F$ such that $a(x) = 0$.

Remark : with 3, we see that $\mathfrak{p}_{\mathcal{F}}$ is prime ideal. Indeed if $a, b \in 3$ and $r > 0$, $\exists F_a, F_b$ such that $|a|_{F_a} \leq r$... Then $|a + b|_{F_a \cap F_b} \leq r$. If $c \in A$, $|ac|_{F_a} \leq \|c\| |a|_{F_a} \leq \|c\| r$. And if $a, b \in A$ and $ab \in 3$. Let $r > 0$, F such that $|ab|_f \leq r^2$, $F_a = \{x \mid |a(x)| \leq r\}$, $F_b = \{x \mid |b(x)| \leq r\}$. Then $F_a \cup F_b \supseteq F$, so one of them is in \mathcal{F} .

Rk : we proved that $\mathfrak{p}_{\mathcal{F}}^c = \{a \mid \exists F \in \mathcal{F}, r > 0 \mid \forall x \in F |a(x)| \geq r\}$.

$s(\eta_1) = \{R \mid R \text{ contains all but finitely many open balls of radius } 1\}$.

$\subseteq s(\eta_{<1}) = \{R \mid R \supseteq (\overset{\circ}{B}(0, 1) \text{ minus some balls of radius } < 1)\}$.

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